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## Phylotranscriptomic analyses reveal multiple whole-genome duplication events, the history of diversification and adaptations in the Araceae

Lei Zhao<sup>1,2</sup>, Ying-Ying Yang<sup>1,2</sup>, Xiao-Jian Qu<sup>3</sup>, Hong Ma<sup>4</sup>, Yi Hu<sup>4</sup>, Hong-Tao Li<sup>1</sup>, Ting-Shuang Yi<sup>1,\*</sup> and De-Zhu Li<sup>1,\*</sup>

<sup>1</sup>Germplasm Bank of Wild Species, Kunming Institute of Botany, Chinese Academy of Sciences, Kunming, Yunnan 650201, China, <sup>2</sup>Kunming College of Life Sciences, University of Chinese Academy of Sciences, Kunming, Yunnan 650201, China, <sup>3</sup>Shandong Provincial Key Laboratory of Plant Stress Research, College of Life Sciences, Shandong Normal University, Ji'nan, Shandong 250014, China and <sup>4</sup>Department of Biology, Huck Institutes of the Life Sciences, Pennsylvania State University, University Park, PA 16802, USA

\* For correspondence. E-mail [tingshuangyi@mail.kib.ac.cn](mailto:tingshuangyi@mail.kib.ac.cn) or [dzl@mail.kib.ac.cn](mailto:dzl@mail.kib.ac.cn)

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- **Background and Aims** The Araceae are one of the most diverse monocot families with numerous morphological and ecological novelties. Plastid and mitochondrial genes have been used to investigate the phylogeny and to interpret shifts in the pollination biology and biogeography of the Araceae. In contrast, the role of whole-genome duplication (WGD) in the evolution of eight subfamilies remains unclear.
- **Methods** New transcriptomes or low-depth whole-genome sequences of 65 species were generated through Illumina sequencing. We reconstructed the phylogenetic relationships of Araceae using concatenated and species tree methods, and then estimated the age of major clades using TreePL. We inferred the WGD events by Ks and gene tree methods. We investigated the diversification patterns applying time-dependent and trait-dependent models. The expansions of gene families and functional enrichments were analysed using CAFE and InterProScan.
- **Key Results** Gymnostachyoideae was the earliest diverging lineage followed successively by Orontioideae, Lemnoideae and Lasioideae. In turn, they were followed by the clade of 'bisexual climbers' comprised of Pothoideae and Monsteroideae, which was resolved as the sister to the unisexual flowers clade of Zamioculcadoideae and Aroideae. A special WGD event  $\psi$  (psi) shared by the True-Araceae clade occurred in the Early Cretaceous. Net diversification rates first declined and then increased through time in the Araceae. The best diversification rate shift along the stem lineage of the True-Araceae clade was detected, and net diversification rates were enhanced following the  $\psi$ -WGD. Functional enrichment analyses revealed that some genes, such as those encoding heat shock proteins, glycosyl hydrolase and cytochrome P450, expanded within the True-Araceae clade.
- **Conclusions** Our results improve our understanding of aroid phylogeny using the large number of single-/low-copy nuclear genes. In contrast to the Proto-Araceae group and the lemnooid clade adaption to aquatic environments, our analyses of WGD, diversification and functional enrichment indicated that WGD may play a more important role in the evolution of adaptations to tropical, terrestrial environments in the True-Araceae clade. These insights provide us with new resources to interpret the evolution of the Araceae.

**Key words:** Araceae, diversification, phylogenomics, WGDs, adaptation.

### INTRODUCTION

Molecular phylogenetic relationships are inferred, most frequently, by plastid and mitochondrial genome datasets. However, the exclusive use of uniparental organellar genes may result in biases and errors in some phylogenetic reconstructions (Davis *et al.*, 2014). Nuclear genes with biparental inheritance often provide alternative evidence (Zeng *et al.*, 2014; Kapli *et al.*, 2020). In particular, recent advances in high-throughput sequencing technology have greatly facilitated the use of nuclear genes based on genome and transcriptome datasets. In ongoing research programmes on seed plants, they have been employed successfully to investigate phylogenetic relationships, whole-genome duplication (WGD) events, the history of diversification within lineages

and the evolution of adaptations to extreme environments. Some recent studies (Ren *et al.*, 2018; Leebens-Mack *et al.*, 2019) on the Caryophyllales (N. Wang *et al.*, 2019), Asteraceae (Huang *et al.*, 2016; Mandel *et al.*, 2019), Cucurbitaceae (Guo *et al.*, 2020), Fabaceae (Koenen *et al.*, 2021; Zhao *et al.*, 2021) and Theaceae (Q. Zhang *et al.*, 2022) have deepened our understanding of evolutionary pathways in angiosperm phylogeny.

Whole-genome duplication is a common but extreme mechanism of gene duplication (GD) in angiosperms, resulting in additional copies of the entire genome in the same cell (Panchy *et al.*, 2016; Van De Peer *et al.*, 2017). To date, at least three waves of WGD events have occurred in angiosperms, and been named tau ( $\tau$ ), sigma ( $\sigma$ ) and rho ( $\rho$ ), and gamma ( $\gamma$ ), beta ( $\beta$ )

and alpha ( $\alpha$ ) for monocots and eudicots, respectively (Jiao et al., 2011, 2012, 2014; Alix et al., 2017). WGDs have long been regarded as a major evolutionary force in plants providing raw genetic variation for natural selection, allowing generations to enhance adaptation and possibly drive speciation (Soltis et al., 2015; Mandakova and Lysak, 2018; Levin, 2019; Van de Peer et al., 2021). Wu et al. (2020) argued that ancient WGD events during the Cretaceous–Paleocene boundary [K–Pg, approx. 66 million years ago (Ma)] were associated with adaptations of angiosperms to cool and dark environments (Vanneste et al., 2014). Cai et al. (2019) suggested that past WGDs in the Malpighiales correlate with the enhancement of plant survival during climatic changes in the course of the Paleocene–Eocene transition (approx. 56–54 Ma) and the late Miocene (approx. 7 Ma) (Sessa, 2019). Additionally, GDs (including WGDs) in the Pooideae were associated with adaptation to lower temperatures and species diversification during the mid-Eocene (approx. 46 Ma) to late Oligocene (approx. 27 Ma) transition (L. Zhang et al., 2022).

Whole-genome duplications have been regarded as evolutionary dead-ends due to the negative effects they may produce. This includes severely abnormal meiosis and instable genomes (Stebbins, 1950; Arrigo and Barker, 2012). Some plant groups with comparatively recent trends in polyploidy have lower net diversification rates than their diploid relatives (Mayrose et al., 2011). Some recent studies failed to show a positive correlation between rates of WGDs and rates of diversification within lineages (Estep et al., 2014; Smith et al., 2018; N. Wang et al., 2019; Huang et al., 2020; Stull et al., 2021). In contrast, other studies suggested that WGDs are essential for speciation (Scarpino et al., 2014; Edger et al., 2015; Godden et al., 2019; Han et al., 2020; L. Zhang et al., 2022). Tank et al. (2015) showed that while increased diversification rates are rarely associated directly with WGD events, they usually follow them after a lag period, as explained by the WGD Radiation Lag-Time Model (Schranz et al., 2012). Younger WGDs are more likely to be followed by upshifts in diversification rates than older WGDs (Landis et al., 2018). However, the WGD events in these studies usually occurred after the K–Pg boundary. Although L. Zhang et al. (2020) reviewed ancient WGD events that occurred 100–120 Ma, the role of WGD events during two Ocean Anoxic Events (OAEs 1b and 1d) in the Early Cretaceous (approx. 100–110 Ma) has not received much investigation (Clark and Donoghue, 2018; Benton et al., 2022).

The Araceae family is defined currently as consisting of approx. 144 genera and 3645 species. Therefore, it is one of the largest monocot families (Croat, 2019). Previous studies have indicated that the ancestral habitats of ancestral aroids were aquatic and/or associated with wetlands. The complex programme of evolution within this family involved diversification of more aquatic life forms but also a greater trend towards colonization of terrestrial habits including geophytic, epiphytic and climbing plants (Cabrera et al., 2008; Cusimano et al., 2011a). Additionally, biogeographical analyses have also suggested that early lineages, including the *Gymnostachydoideae* and *Orontioideae*, were Laurasian and temperate in origin, making only a recent entry, but spectacular radiation into tropical biomes in Africa, South America, South-East Asia and Australasia (Nauheimer et al., 2012). Today, this family includes only a few temperate

genera while 90 % of genera and 95 % of species are restricted to the tropics and sub-tropics (Mayo et al., 1997). To date, three groups representing eight subfamilies are recognized in the Araceae. Their current segregation into the Proto-Araceae group (*Gymnostachydoideae* and *Orontioideae*), lemnoids (*Lemnoideae*) and the True-Araceae clade (*Lasioideae*, *Pothoideae*, *Monsteroideae*, *Zamioculcadoideae* and *Aroideae*) are based on previous studies combining morphological traits and organellar genes (Mayo et al., 1997; Cusimano et al., 2011a; Henriquez et al., 2014). In Araceae, the Proto-Araceae group and lemnoids account for only 6 % of all genera and 1.3 % of all species. Almost all of their species are restricted to swampy-aquatic habitats (Cusimano et al., 2011a; Lee et al., 2019). In contrast, the True-Araceae clade accounts for 94 % of all genera and 98.7 % of all species. The majority take terrestrial-epiphytic habits with centres of diversity in tropical rain forests (Nauheimer et al., 2012). Currently, based on the genome and transcriptome sequences, some studies have detected WGD events from *Colocasia esculenta* (L.) Schott, *Pistia stratiotes* L., *Typhonium blumei* Nicolson & Sivad., *Spirodela polyrhiza* (L.) Schleid. and *Lemna minuta* Kunth in the True-Araceae clade, the Proto-Araceae group and the lemnoid clade (Cusimano et al., 2011b; Wang et al., 2014; Li and Barker, 2020; Yin et al., 2021). However, few studies have investigated the phylogeny, the roles of WGDs and the diversification history of the Araceae using large-scale nuclear gene datasets.

In this study, we generated 64 new transcriptome datasets and one new genome survey in the Araceae, and retrieved 22 transcriptome and three genome sequences from public databases to better understand evolution in this large family. Our goals are to (1) reconstruct phylogenetic relationships among eight subfamilies using large-scale nuclear genes; (2) identify additional WGDs; (3) infer the diversification history within the family; and (4) evaluate the potential roles of WGDs in the evolution of key traits and novelties.

## MATERIALS AND METHODS

### *Taxon sampling and transcriptome sequencing*

We sampled 81 species representing 57 genera in the eight subfamilies of the Araceae, and nine additional species to represent outgroups (see Supplementary data Table S1). At least one representative from 43 of 44 clades identified by Cusimano et al. (2011a) were included. Three genome and 22 transcriptome datasets were downloaded from three public databases: Phytozome (<http://phytozome.jgi.doe.gov>), CoGe (<https://genomevolution.org>) and NCBI (<http://www.ncbi.nlm.nih.gov/>). In turn, we generated 64 new transcriptome datasets and one new genome survey. Following the collection of young leaves, we extracted total RNA using the SIGMA plant total RNA kit (Tiangen, Beijing, China). For *Gymnostachys anceps* R.Br., in the absence of fresh leaves, we used herbarium specimens (the Missouri Botanical Garden, Collection Number: 57203), extracting the total DNA using the CTAB (cetyltrimethylammonium bromide) method. For the library construction, Illumina HiSeq4000 sequencing and quality control was performed at Novogene Corporation, Beijing, China. We obtained published species numbers from the International

Aroid Society (Croat, 2019). All clean reads in the study were deposited on the NCBI Short Reads Archive under the BioProject Accession Number PRJNA813353.

#### *Orthologue identification, phylogeny inference and dating*

We sequenced 6 Gb transcriptome sequences for each species except *G. anceps*. These transcriptome sequences were *de novo* assembled using Trinity-v2.0.6 (Haas et al., 2013). From each of the transcriptomes, CD-HIT v4.6 with the parameter: -c 0.99 -n 10 was carried out to obtain the non-redundant transcripts (Li and Godzik, 2006). TransDecoder-v5.1.0 was employed subsequently to predict amino acid sequences (Haas et al., 2013), but sequences <150 bp in length were discarded. For *G. anceps*, we sequenced 70 Gb genome survey datasets. *De novo* assembly was performed using platanus v1.2.4 with default parameters (Kajitani et al., 2014). RepeatMasker v4.1.0 was used to identify tandem repeats and transposable elements. Gene annotations were obtained using Augustus v3.3.3 and Exonerate v2.2.0, and then the gene set was integrated using EVIDENCEModeler v1.1.1 (Haas et al., 2008). The completeness of transcripts was assessed by comparison against the Benchmarking Universal Single-Copy Orthologs (BUSCO) datasets (Simao et al., 2015). Orthologous clusters were identified as described in Zhao et al. (2016) using 4180 core orthologues from ‘primer taxa’ [*Arabidopsis thaliana* (L.) Heynh., *Glycine max* (L.) Merr., *Medicago truncatula* Gaertn., *Populus trichocarpa* Torr. & A.Gray, *Oryza sativa* L., *Sorghum bicolor* (L.) Moench, *Solanum lycopersicum* L., *Vitis vinifera* L. and *Zea mays* L.] as seeds in HaMStR-v13 with strict parameters (-representative, -strict, -eval\_limit = 0.00001 and -rbh) (Ebersberger et al., 2009). To reduce missing data, we retained only those clusters that included at least 60 % of the species, and then obtained 1081 clusters from 90 species.

Each cluster was aligned using MAFFT-v7.4 with default parameters (Katoh et al., 2005). Poorly aligned regions were trimmed by trimAlv1.4 with the parameter: -automated1 (Capella-Gutierrez et al., 2009). Final alignments were checked manually in MEGA-X (Kumar et al., 2018). Concatenation and coalescence methods were employed to infer phylogenetic relationships. For the concatenation method, all trimmed alignments were concatenated into a supermatrix using SCAFoS (Roure et al., 2007). Phylogenetic reconstructions were built using RAxML-8.2 with a PROTCATJTT model as suggested by ProtTestv3.4 (Stamatakis, 2014). For the coalescence method, each gene tree was inferred using RAxML8.2 with the PROTCATJTT model, 200 bootstrap replicates (-b) and a rapid bootstrapping algorithm (-r). Phylogenetic relationships were then constructed from the best-scoring maximum likelihood (ML) gene trees using ASTRALv5.5 (Mirarab and Warnow, 2015). Based on 922 rooted gene trees, we used PhyParts software (Smith et al., 2015) to evaluate the conflicts of gene trees.

Molecular clock analysis was performed to estimate divergence times by the penalized likelihood (PL) method implemented in TreePL, which can handle large-scale phylogenetic datasets (Smith and O’Meara, 2012). To determine the optimal smoothing value, the cross-validation tested 13 smoothing values separated by one order of magnitude,

starting at  $1 \times 10^{-6}$ . To estimate the confidence intervals of dating, we generated 1000 ML bootstrap trees with branch lengths using RAxML8.2. A maximum clade credibility (MCC) tree was calculated in TreeAnnotator in BEASTv2.5 (Bouckaert et al., 2019). We employed five fossil constraints in our dating estimation (Supplementary data Table S2) according to Nauheimer et al. (2012), Magallon et al. (2015), Iles et al. (2015) and H.T. Li et al. (2019). The maximum and minimum ages of the roots were assigned as 125 and 113 Ma, respectively.

#### *Ks-based method for WGD inference from single species*

To identify WGD events in 81 species in the Araceae, we first employed the Ks method (the number of synonymous substitutions per synonymous site). We performed wgd packages for the estimation of the Ks distribution from each species. The pipeline was a simple command-line tool for the analysis and visualization of WGD events (Zwaenepoel and Van de Peer, 2019). With the pipeline of wgd packages, we implemented all-to-all BLASTP with an e-value cut-off of  $1e^{-5}$  and then carried out Markov cluster (MCL) algorithm to construct gene families using ‘wgd mcl’. We then plotted Ks distributions by Ks values of gene families using ‘wgd ksd’ as fitted by Gaussian mixture models (GMMs) using ‘wgd mix’ in the wgd packages. We excluded Ks values of <0.05 to avoid the effects of isoforms from transcriptomes (H.F. Wang et al., 2019; Zwaenepoel et al., 2019).

#### *The gene tree-based method of WGD inference from multiple species*

To locate the placement of WGDs in our datasets, we employed a gene tree sorting and counting algorithm, the multi-taxon paleopolyploidy search (MAPS) tool (Li et al., 2015). For each MAPS analysis, we followed the steps of Leebens-Mack et al. (2019). To minimize topology errors in gene trees, we collected eight species to infer shared WGD events, including two species representing the Proto-Araceae group (*G. anceps* and *Lysichiton americanus* Hulten & H.St. John), one species representing the lemnoid clade (*Lemna minor* L.), four species representing the True-Araceae clade [*Dracontium dressleri* Croat., *Spathiphyllum floribundum* (Linden & André) N.E.Br., *Zamioculcas zamiifolia* (Lodd.) Engl. and *Arisaema franchetianum* Engl.] and one outgroup species *Acorus calamus* L. Gene families were clustered from these OrthoFinder-2.3.3 results under the parameters: -S diamond and -M msa (Emms and Kelly, 2019). Using Perl script, we obtained the gene families that contained at least one copy from each taxon and discarded the remaining clusters. PASTA-1.8.5 was run until it reached three iterations without improving the likelihood score for the automatic alignments and the phylogenetic reconstruction of gene families (Mirarab et al., 2015). For each iteration, we constructed the alignments using MAFFT, merged these alignments using Muscle and inferred the gene tree using RAxML. The parameters of each software were defaulted in PASTA. All the best scoring gene trees were inputted into MAPS software to locate the shared WGD events.

### Time-dependent diversifications

Based on the time-calibrated phylogeny, we inferred the diversification rates and the diversification shifts across eight subfamilies using BAMB v.2.5 (Title and Rabosky, 2019). BAMB employed a reversible jump Markov chain Monte Carlo (rjMCMC) to automatically detect a vast universe of models for lineage diversification. BAMB can automatically explore the clade's rate shifts and diversification dynamics (Rabosky et al., 2017). We pruned the outgroups and estimated the priors. We set default values = 1.0 for poissonRatePrior because of small trees (<500 tips). Incomplete taxon sampling of eight subfamilies was considered by applying clade-specific sampling fractions. We ran BAMB with four rjMCMC chains, each for 50 000 000 generations, sampling every 10 000 generations. The first 10 % of sampled data were discarded as burn-in. The convergence was assessed by plotting the log-likelihood trace of the MCMC output and computing the effective sample size values (>200). The configuration of the diversification rate shifts was estimated by the posterior distribution, and Bayes factors were used to compare with alternative diversification models. We analysed the output file of BAMB and plotted the mean phylorate and the specific clade rate-through-time (RTT) curve by the R package BAMBtools v2.16 (Rabosky, 2014).

### State-dependent diversifications

We applied two state-dependent methods: BiSSE (Binary State Speciation and Extinction) (Maddison et al., 2007) and HiSSE (Hidden State Speciation and Extinction) to analyse diversification rates (Beaulieu and O'Meara, 2016). BiSSE allowed us to investigate the effect of the binary trait on diversification rates in the incompletely resolved phylogeny (FitzJohn et al., 2009). We conducted the BiSSE analyses in the R package Diversitree v0.9-11 based on the MCC tree (FitzJohn, 2012). Incomplete sampling was considered by clade-specific sampling fractions. For each trait, we used ML searches to compare four models: (1) full model allowed all variables to change independently; (2)  $\lambda_0 \sim \lambda_1$  constrained speciation rates making them equal across states; (3)  $\mu_0 \sim \mu_1$  allowed extinction rates to be equal across states; and (4)  $q_{01} \sim q_{10}$  constrained transition rates to be equal across states. To select the best-fitting model, we employed the lowest Akaike information criterion (AIC) values. We ran MCMC for 10 000 generations and sampled every 100 generations with an exponential prior at a rate of  $1/(2r)$ , where  $r$  was the diversification rate of the trait.

The HiSSE model extended the BiSSE models to account for unmeasured factors (i.e. hidden states) that could affect diversification rate estimations with the observed traits. Therefore, we also conducted an analysis of the diversification rate using HiSSE v1.9.5 (Herrera-Alsina et al., 2019). For HiSSE analyses, we implemented 25 models, comprising four BiSSE models, four trait-independent models and 17 HiSSE models following Beaulieu and O'Meara (2016). The best model was chosen according to the lowest  $\Delta$ AIC values. We estimated the likeliest ancestral states for both internal nodes and tips of the phylogeny, and inferred the confidence interval of each parameter by sampling parameter values to ensure the difference of

log-likelihood <2 in the 'MarginRecon' and 'SupportRegion' functions, respectively.

### Gene family expansions and functional analyses

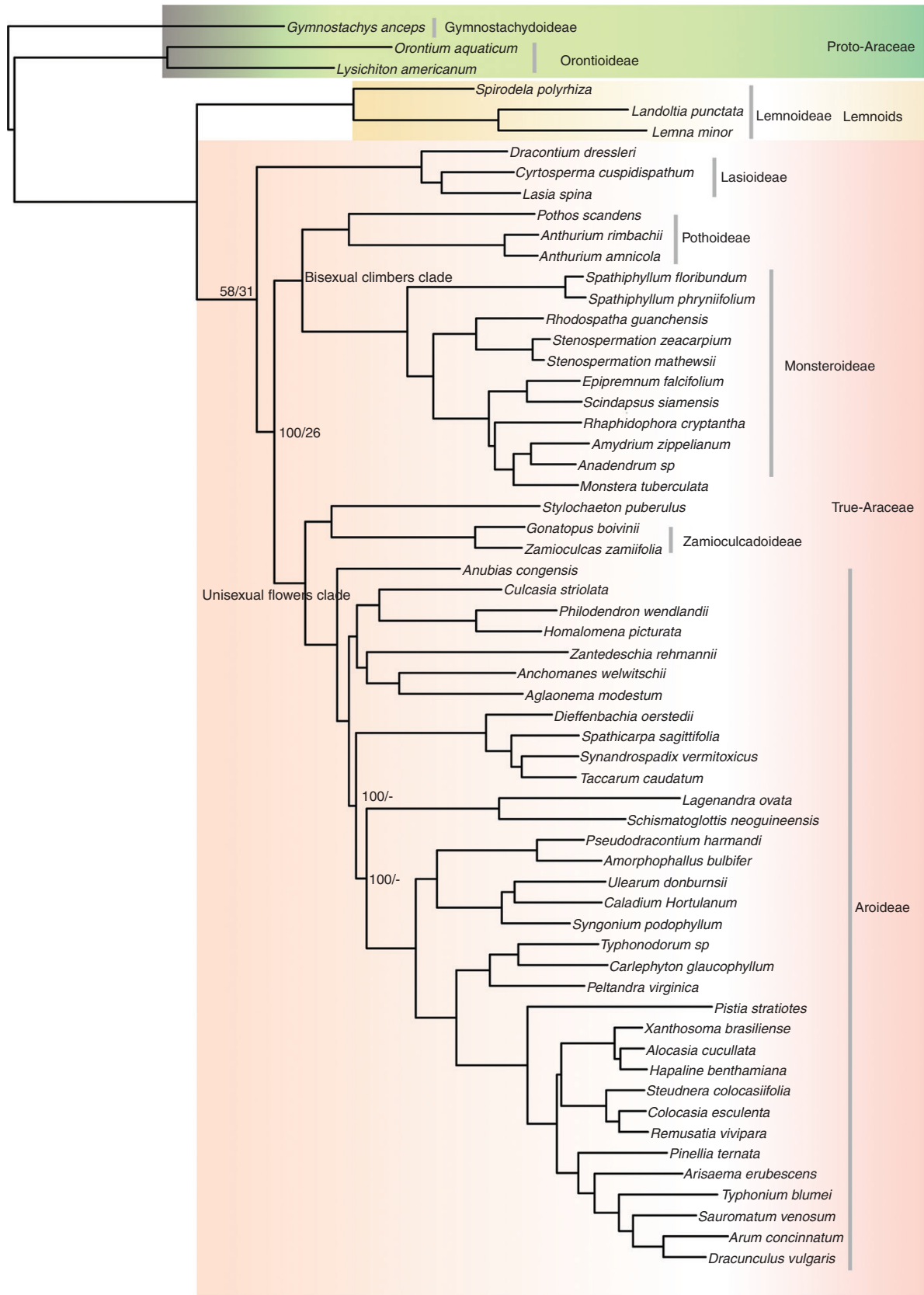
We employed CAFE v4.2.1 (Han et al., 2013) to infer the expansions from gene families that were clustered in eight species representing the Proto-Araceae group, the lemnoide clade and the True-Araceae clade using OrthoFinder-2.3.3 (Emms and Kelly, 2019). We performed InterProScan v5.41 to obtain functional annotation of the InterPro signatures and the Gene Ontology (GO) terms (Jones et al., 2014). The functional enrichment of GO terms was analysed by comparing the foreground sample (expanded gene families) with all annotated gene families based on Fisher's exact test and Bonferroni correction (Ashburner et al., 2000).

## RESULTS

### Phylogenetic backbone of the Araceae

A total of 81 Araceae species were included in this study to represent all eight subfamilies, 57 out of 144 genera and 43 out of 44 clades. Among the 81 Araceae datasets, 65 were newly generated for this study. In addition, nine species from other monocot families were used as outgroup taxa for phylogenetic reconstruction. The non-redundant unigenes ranged in size from 10 407 bp to 72 972 bp. BUSCO recovery in assembled unigenes averaged  $70 \pm 11$  % for the combination of the complete and fragmented orthologues (Supplementary data Table S1).

A total of 1081 orthologous groups were identified from 90 species for phylogenetic tree reconstructions. Sequence alignments of orthologous groups were concatenated, and were composed of 490 218 amino acid sites. The concatenated ML tree was estimated, and gained a highly supported phylogeny with bootstrap values of 100 for all nodes, excluding the Orontioideae node with a 58 % bootstrap value (Supplementary data Fig. S1). The maximum quartet support species tree was also inferred by using ASTRAL-II, and gained a similar phylogeny with high support, except for two nodes of the Orontioideae and Lasioideae with 31 % and 26 % bootstrap values, respectively (Supplementary data Fig. S2). It was worth noting that the concatenated ML tree and the maximum quartet support species tree were congruent with respect to the phylogenetic relationships of eight subfamilies (Fig. 1). In our results, the Gymnostachydoideae was the earliest diverging lineage within the family, successively followed by the Orontioideae, Lemnoideae and Lasioideae. In turn, they were followed by the 'bisexual climbers' clade comprised of the Pothoideae and Monsteroideae. These last two subfamilies were resolved as sisters to the clade with unisexual flowers, as comprised of the Zamioiculcadoideae and Aroideae. The Lasioideae was the sister of a clade formed by the clades of the 'bisexual climbers' and the 'unisexual flowers' (Fig. 1). In addition, our results offered strong support for adding the genus *Stylochaeton* Lep. to the Zamioiculcadoideae (Henriquez et al., 2014).



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FIG. 1. Phylogenetic tree of the Araceae using RAXML and ASTRAL methods based on 1081 orthologous groups from 60 representative species. Nodes with no values have 100 % bootstrap support. '-' indicates that the two placements reconstructed by ASTRAL are inconsistent with the results inferred by RAXML.

### Estimation of divergence time

The ages of the stem and crown groups for the Araceae in our estimation were approx. 131.6 and 128.8 Ma, with a 95 % highest probability density (HPD): 129.1–132.7 and 125.2–130.6 Ma, respectively. Our calculation was older than previous analyses based on chloroplast genes (Janssen and Bremer 2004; Nauheimer *et al.*, 2012) (Supplementary data Figs S3 and S4). The Proto-Araceae group, the lemnoideid clade and the True-Araceae clade diverged at 100.7–110.5 Ma from the early Cretaceous (Fig. 2). The stem age of the Gymnostachydoideae was estimated at 128.8 Ma (95 % HPD 125.2–130.6 Ma). The Orontioideae was estimated at 128.3 Ma (95 % HPD 123.9–129.8 Ma), the Lemnoideae at 110.5 Ma (95 % HPD 68.9.3–81.1 Ma), the Lasioideae at 110.5 Ma (95 % HPD 97.7–107.5 Ma), the Pothoideae at 89.2 Ma (95 % HPD 86.4–97.0 Ma), the Monsteroideae at 89.2 Ma (95 % HPD 86.4–97.0 Ma), the Zamioiculcadoideae at 89.1 Ma (95 % HPD 86.5–97.0 Ma) and the Aroideae at 89.1 Ma (95 % HPD 86.5–97.0 Ma; Supplementary data Figs S3 and S4). Our results suggested that the Araceae originated in the early Cretaceous (Hauterivian), and all eight subfamilies diverged before the K–Pg boundary.

### Inferences of WGDs

Ks frequency plots suggested the presence of multiple WGD events for many aroids, in which peaks of Ks ranged from 0.60 to 2.05. From Ks frequency plots, we found that Araceae shared one round of ancient WGD events ( $\tau$ ) with other monocot families, consistent with results in previous studies (Jiao *et al.*, 2014; Abramson *et al.*, 2022). Interestingly, the True-Araceae clade may have shared the special WGD event (namely  $\psi$ ), with Ks ranging between 0.60 and 0.95. However, the  $\psi$ -WGD event was not shared with the Proto-Araceae group and the lemnoideid clade (Fig. 2), which have their own WGD events with peak Ks values of 0.60 for *O. aquaticum* and 1.23 for *S. polyrhiza* (Supplementary data Table S3 and Fig. S5).

We also used a gene tree mapping approach, MAPS, to test whether the True-Araceae clade shared the  $\psi$ -WGD event according to the methods of Leebens-Mack *et al.* (2019). Gene family clustering across eight species obtained 2309 clusters with at least one copy from each sampled species. At the node of the True-Araceae clade, >52 % of gene sub-trees were consistent with the species tree, supporting the  $\psi$ -WGD event in the True-Araceae clade across the phylogeny. The shared  $\psi$ -WGD event at this node was consistent with the observed result from single species Ks plots in the True-Araceae clade (Supplementary data Figs S5 and S6).

### Diversification rates through time

We estimated that the mean speciation rate, extinction rate and net diversification rate for the Araceae was 0.2991, 0.2527 and 0.0464 lineages per million years, respectively. Rate-through-time analysis suggested that the diversification of the Araceae began in the early Cretaceous, followed by three stages (Fig. 3A and see Supplementary data Fig. S7). The net diversification rate declined from the Barremian to the Cenomanian. However,

the net diversification rate rose slowly from the Cenomanian to the Maastrichtian. Finally, the net diversification rate increased rapidly after the K–Pg boundary. The post burn-in posterior distribution indicated that the best shift occurred with a posterior probability (PP) of 0.42 (Supplementary data Table S4 and Fig. S8). The 95 % credible set of shift configurations and marginal shift probabilities favoured the model that included the best shift along branches with the True-Araceae clade (Supplementary data Figs S9 and S10), consistent with the previous study by Givnish *et al.* (2018).

### Diversification rates in the Proto-Araceae, lemnoideids and True-Araceae

We compared net diversification rates between the Proto-Araceae group, the lemnoideid clade and the True-Araceae clade. Using BAMB, we calculated the mean speciation rate, extinction rate and net diversification rate for the Proto-Araceae group and the lemnoideid clade to be 0.1043, 0.0895 and 0.0148 lineages per million years, respectively (Supplementary data Fig. S11). The mean speciation rate, extinction rate and net diversification rate for the True-Araceae clade were 0.3481, 0.2937 and 0.0544 lineages per million years, respectively (Supplementary data Fig. S12). The BAMB analyses indicated that the net diversification rate was enhanced 3.67 times from the Proto-Araceae group and the lemnoideid clade to the True-Araceae clade (Fig. 4A). We further corroborated the results by using BiSSE and HiSSE packages, respectively. In BiSSE analyses, the best-fitting BiSSE model was the full model with different speciation, extinction and transition rates (Table 1). In the best-fit model, both speciation and extinction rates were high for the True-Araceae clade (Supplementary data Figs S13 and S14), and the net diversification rate was approx. 2-fold higher than in the Proto-Araceae group and the lemnoideid clade (Fig. 4B). In HiSSE analyses, the full HiSSE model with ' $\tau0A = \tau1A = \tau0B$ ,  $\epsilon0A = \epsilon1A = \epsilon0B$ ,  $q0B1B = q1B0B = 0$  and all other equals' was the best-fit model (Table 2). The circle-plot clearly showed a 2-fold higher mean net diversification rate in the True-Araceae clade compared with the Proto-Araceae group and the lemnoideid clade (Fig. 4C).

### Enrichment of gene functions in the True-Araceae clade

We identified >500 expanded gene families by CAFE in the True-Araceae clade (Supplementary data Table S5). With the annotation of InterPro, the functions of expanded gene families were mostly related to development, stress responses, metabolic pathways and signal transduction, including genes encoding cytochrome P450, glycosyl hydrolase, RING fingers, heat shock proteins, pectin acetyltransferase, cellulose synthase and ABC transporters. For example, cytochrome P450 represented one of the largest enzyme families consisting of multiple subfamilies, which are involved in various processes related to plant development and metabolism. This includes root hair development (Pan *et al.*, 2018), lignification (Lui *et al.*, 2020), defence against herbivores (Liu *et al.*, 2019) and drought stress response (J. Zhang *et al.*, 2020). In particular, Renault *et al.* (2017) found that the membrane topology of

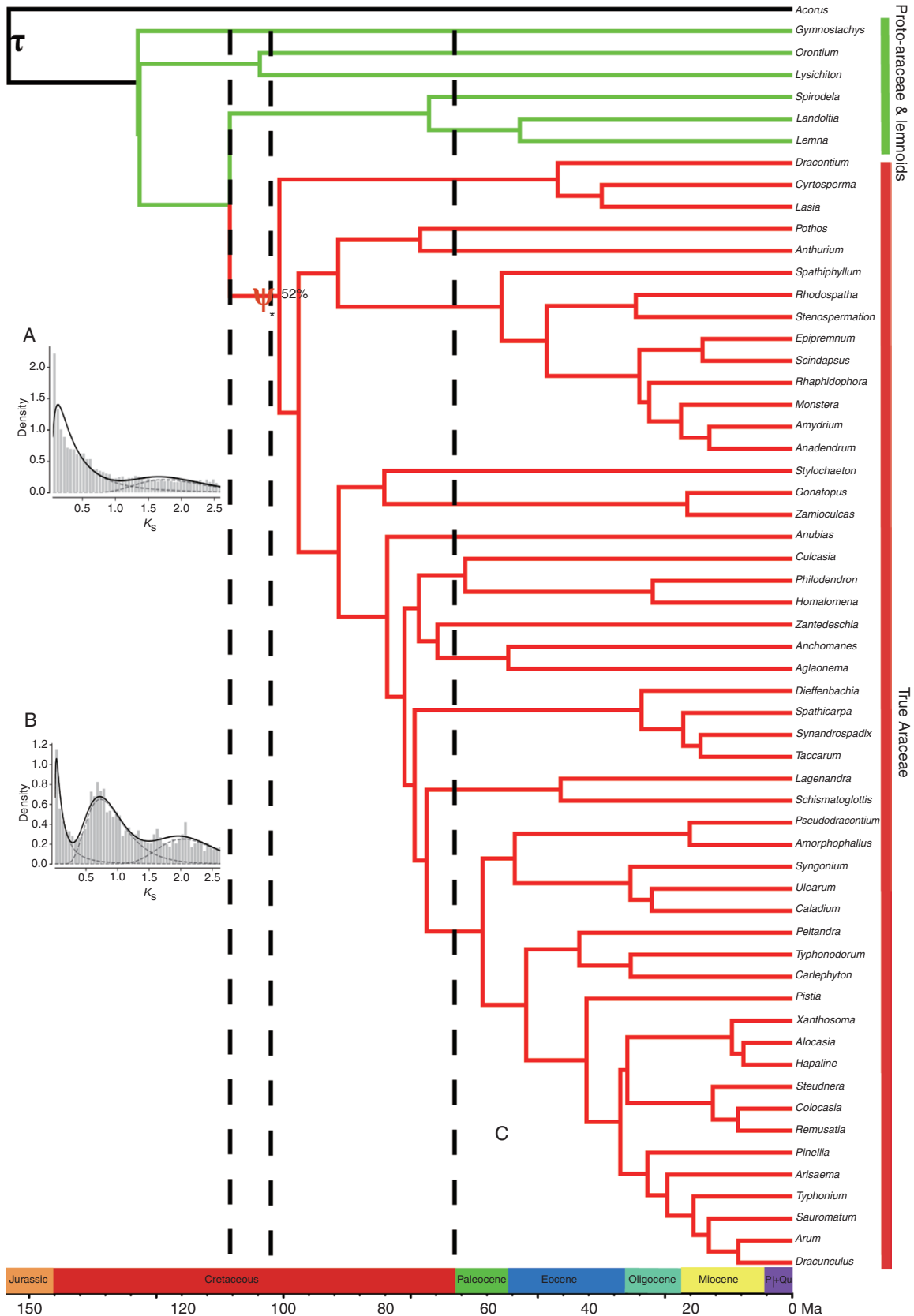


FIG. 2. The time-calibrated phylogenetic tree and evidence for WGD events in the Araceae. (A) Histograms of  $K_s$  distribution in the Proto-Araceae group and the lemnoid clade. (B) Histograms of  $K_s$  distribution of the True-Araceae clade. (C) The  $\tau$ -WGD event was shared by the Araceae and other monocot families. The  $\psi$ -WGD event was shared by the True-Araceae clade. Fifty-two per cent of gene sub-trees were consistent with the species tree, and possibly supported a shared WGD by the True-Araceae clade using MAPS analyses. The three dotted lines indicate the OAE 1b, OAE 1d and K-Pg event from left to right.

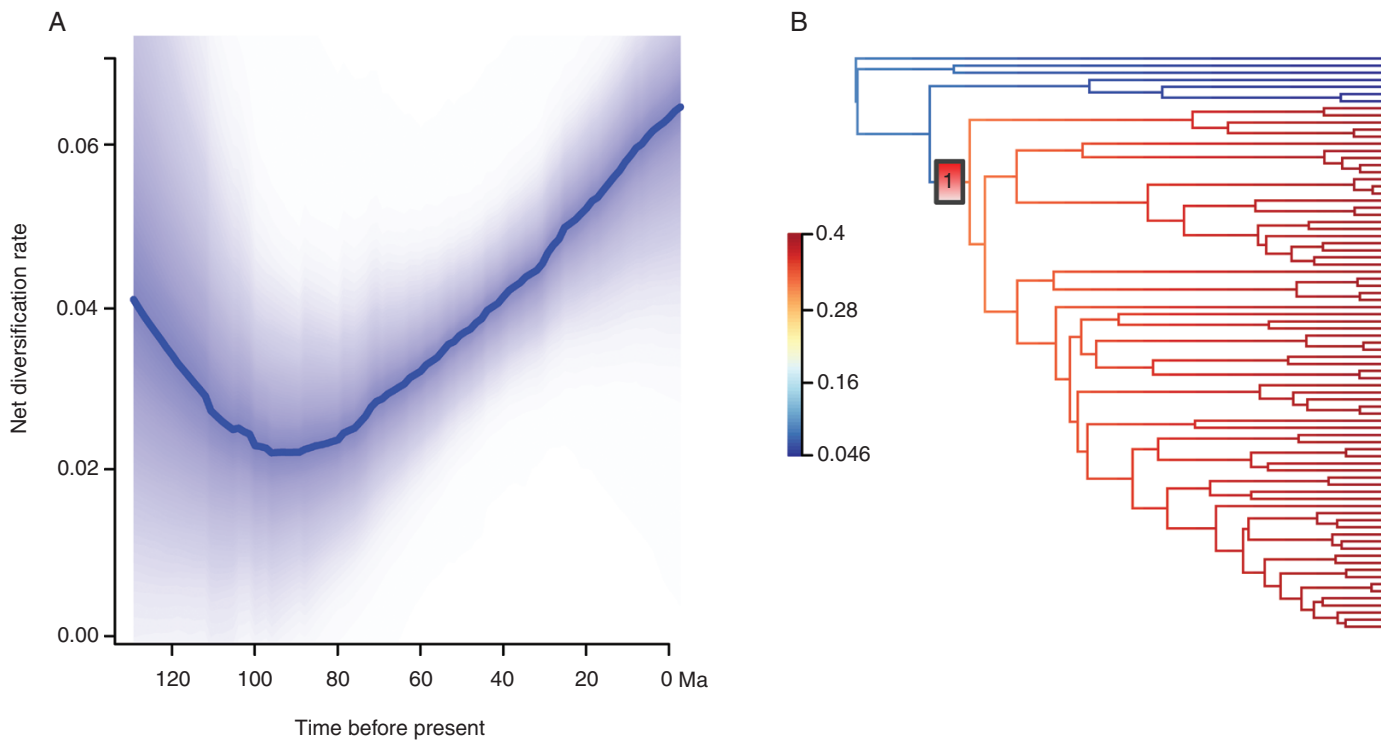


Fig. 3. Macroevolutionary dynamics of Araceae estimated by BAMM. (A) The pattern of net diversification rate across time. The rate-through-time plot indicates an increase in the diversification rate after the  $\psi$ -WGD event. (B) The placement of the best shift for the diversification of the Araceae. Branch colours represent the relative net diversification rates on the left side of the tree. Number 1 indicates the placement of the  $\psi$ -WGD event.

cytochrome P450, which was associated with the metabolism of the plant phenolic pathway, was altered by gene duplications in plants (J. Zhang *et al.*, 2020). Other gene families have also expanded in the True-Araceae including glycosyl hydrolases and RING fingers. The glycosyl hydrolase superfamily forms complex and diverse families that combine with glycosyltransferases for the formation and hydrolysis of glycosidic bonds. We detected the expansions of glycosyl hydrolase families, such as 1, 3, 14, 38 and 63, which played important roles in response to biotic and abiotic stresses (Okrent and Wildermuth, 2011; Cao *et al.*, 2017) and cell wall formation (Wang *et al.*, 2020) in plants.

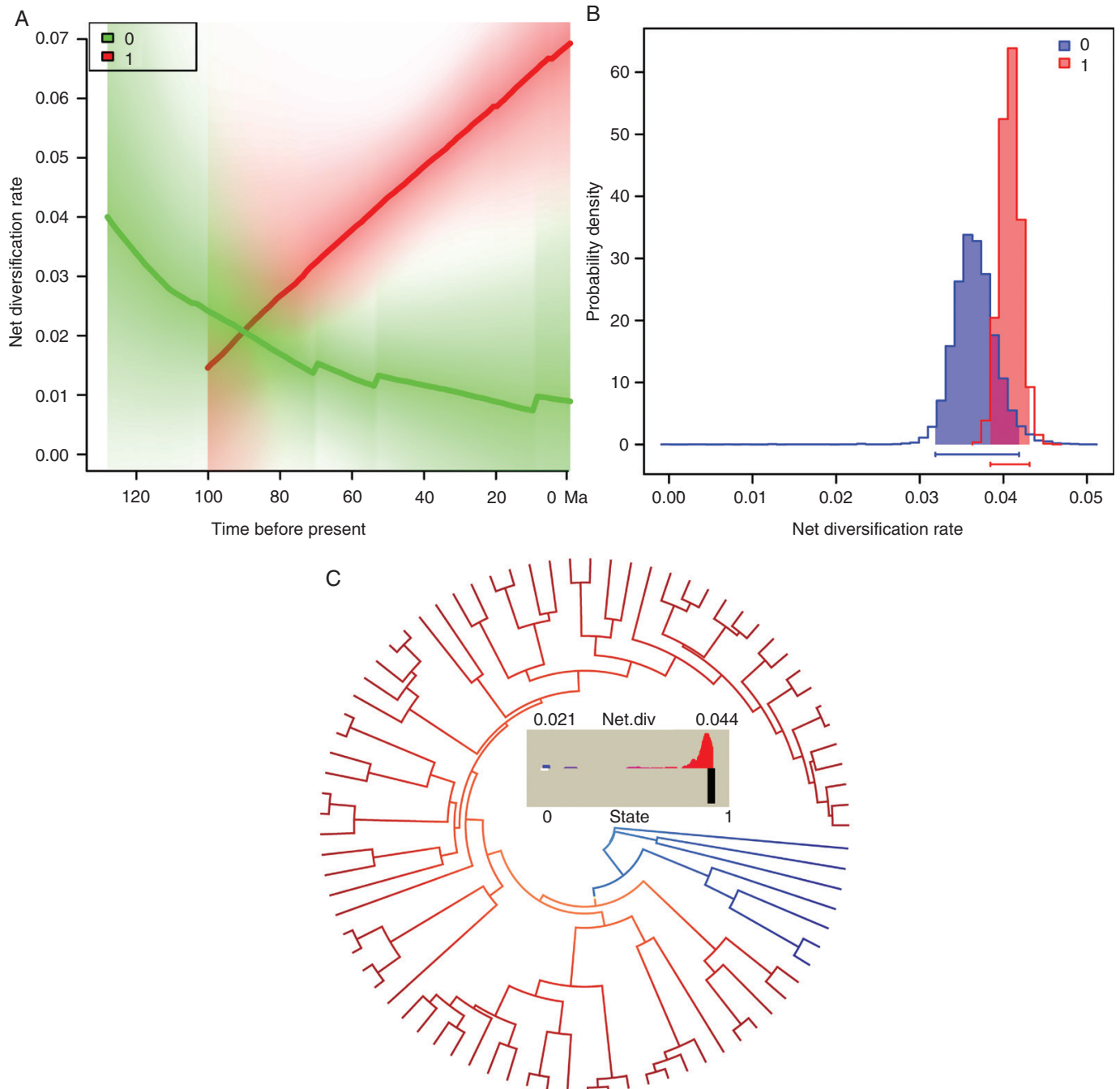
We performed functional GO term enrichment analysis and identified 49 GO terms for molecular functions, biological processes and cellular components that were significantly ( $P$ -value  $\geq 0.05$ ) over-represented in the True-Araceae clade (Table 3). Over-represented functions were mostly related to binding, receptor and channel activity in the molecular functions terms, including heat shock protein binding, ion binding, transmembrane signalling receptor activity, ionotropic glutamate receptor activity and extracellular ligand-gated ion channel activity, among others. At the level of biological processes, many metabolic functions, such as DNA repair and cellular stress response, were involved, suggesting that some types of reception were more developed and possessed a wide range of metabolic pathways in the True-Araceae clade. Overall, these results indicated that these expansions may contribute to adaptations encouraging radiations of ancestors of the True-Araceae into tropical and terrestrial biomes.

## DISCUSSION

### *Phylogenetic relationships of eight subfamilies in Araceae*

In previous studies, the phylogeny of the Araceae was inferred by chloroplast gene sequences (Cusimano *et al.*, 2011a; Henriquez *et al.*, 2014). However, in plants, these genes are generally inherited from only one parent and they are much affected by rampant horizontal gene transfer (HGT). This may lead to biases when inferring phylogenetic relationships. In our study, our phylogenetic analyses yielded the same relationships for eight subfamilies using concatenation and coalescence methods based on 1081 orthologous nuclear genes. Nevertheless, for the Gymnostachydoideae and Lasioideae, the phylogenetic relationships of eight subfamilies based on nuclear genes did not agree with conclusions inferred from earlier chloroplast sequences (Supplementary data Fig. S15). In our phylogenetic analysis, the Gymnostachydoideae was recovered as a sister group to all other Araceae, with 58 % and 31 % bootstrap values based on the concatenation and coalescence estimations, respectively (Supplementary data Figs S1 and S2). The Lasioideae was the sister of the ‘bisexual climbers’ clade and the unisexual flowers clade, with only 26 % bootstrap values based on coalescence estimation (Supplementary data Figs S1 and S2). To assess the reasons for low support and conflicts at the nodes, we used PhyParts software to map 922 rooted single-gene trees to species trees. Only 37.5 % of single gene trees (346) supported the placement of the Gymnostachydoideae, and 78.1 % of single gene trees (720) supported the sister relationship of the ‘bisexual





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FIG. 4. Differences in net diversification rates for the Proto-Araceae group, the lemnooid clade and the True-Araceae clade using three methods. Number 0 indicates the Proto-Araceae group and the lemnooid clade. Number 1 indicates the True-Araceae clade. (A) Diversification rate-through-time plots estimated by BAMM across time. (B) Results of BiSSE analyses; net diversification rates under the best-fit model are shown. (C) Results of HiSSE analyses with net diversification rates under the best-fit model. Net diversification rates are indicated as colour shadings along branch edges (from blue to red).

climbers’ clade and the ‘unisexual flowers’ clade. For the two inconsistent placements reconstructed by ASTRAL and RAxML (Fig. 1), 94.1 % of single gene trees (868) and 85.7 % of single gene trees (790) supported the phylogenetic relationships reconstructed by RAxML. The results indicated that the incongruent phylogenies of the genes may be one of the main factors for the low support and the conflicts of some nodes.

In addition to a robust phylogeny based on nuclear genes, we employed five fossil constraints to determine divergence times of Araceae by PL analysis. However, the age of the crown group was approx. 10 Ma older than that of Janssen and Bremer (2004) based exclusively on chloroplast sequences. Overall, this study provided the first well-supported phylogeny based on nuclear genes for elucidating the evolutionary history of Araceae.

*WGD contributed to Araceae adaptation to different environments*

In this study, we identified a new WGD event ( $\psi$ , approx. 100.7–110.5 Ma) that occurred possibly during the OAEs 1b and 1d (Fig. 2) when the occurrence of extensive submarine volcanic activity resulted in the release of excess greenhouse

TABLE 1. *Comparative fit of different BiSSE models for the Proto-Araceae group, the lemnoid clade and the True-Araceae clade using Diversitree packages\**

Model	LogL	AIC	$\Delta$ AIC
<b>Full</b>	<b>-20.220</b>	<b>52.441</b>	<b>0</b>
Equal $\lambda$	-24.081	58.160	5.719
Equal $\mu$	-23.819	57.639	5.198
Equal $q$	-23.681	57.362	4.921

\*Bold represents the best model. LogL, the loglikelihood of the model; AIC, the Akaike information criterion.

gases which led to a warming climate (Heimhofer *et al.*, 2005; Jenkyns, 2010; Rodriguez-Cuicas *et al.*, 2019). It is interesting that this WGD event was shared by the True-Araceae clade, but not by the Proto-Araceae group and the lemnoid clade, despite *O. aquaticum* with a separate WGD event in the Proto-Araceae group, and *S. polyrhiza* with one special WGD event in the lemnoid clade (Wang *et al.*, 2014). It seems that WGD could be derived from allopolyploidization, as introgression was detected in the early history of the Araceae (Chen *et al.*, 2022). In fact, the  $\psi$ -WGD event was followed by upshifts in the rate of diversification in the True-Araceae clade, the opposite of the Proto-Araceae group and the lemnoid clade. Some studies have shown that anthocyanin biosynthesis is related to R2R3-MYB and bHLH gene families mediated by WGD/GD, resulting in the colour changes of spathes (C. Li *et al.*, 2019; Wu *et al.*, 2022). Spathes of the True-Araceae clade are generally showy, attractive and probably adaptive. In contrast, spathes are simple or absent in the Proto-Araceae group and the lemnoid clade (Cusimano *et al.*, 2011a). It was suggested that upshifts in the rate of diversification in the True-Araceae clade may be association with the development of spathes as

TABLE 2. *Comparative fit of alternative models for the Proto-Araceae group, lemnoids and the True-Araceae clade using HiSSE packages\**

Model	LogL	AIC	$\Delta$ AIC
BiSSE model: all free	-370.707	753.413	29.862
BiSSE model: $\epsilon_0 = \epsilon_1$	-357.702	725.404	1.853
BiSSE model: qs equal	-371.311	752.623	29.072
BiSSE model: $\epsilon_0 = \epsilon_1$ , qs equal	-361.871	731.741	8.19
CID-2: qs equal	-360.573	731.145	7.594
CID-2: $\epsilon_s$ , qs equal	-364.393	736.786	13.235
CID-4: $\epsilon_s$ equal, qs equal	-361.436	741.874	18.323
CID-4: qs equal	-361.735	734.470	10.919
HiSSE full model	-355.575	743.150	19.599
HiSSE: $\tau_0A = \tau_1A$ , $\epsilon_s$ equal, qs equal	-360.583	733.165	9.614
HiSSE: $\tau_0A = \tau_1A$ , $\epsilon_0A = \epsilon_1A$ , $q_0B_1B = 0$ , $q_1B_0B = 0$ , all other qs equal	-367.084	744.168	20.617
HiSSE: $\epsilon_s$ equal, $q_0B_1B = 0$ , $q_1B_0B = 0$ , all other qs equal	-369.235	746.471	22.92
HiSSE: $q_0B_1B = 0$ , $q_1B_0B = 0$ , all other qs equal	-360.669	735.339	11.788
HiSSE: $\tau_0A = \tau_1A$ , $\epsilon_s$ equal, $q_0B_1B = 0$ , $q_1B_0B = 0$ , all other qs equal	-361.742	733.485	9.934
HiSSE: $\tau_0A = \tau_0B$ , $\epsilon_0A = \epsilon_0B$ , $q_0B_1B = 0$ , $q_1B_0B = 0$ , all other q's equal	-356.678	727.356	3.805
HiSSE: $\tau_0A = \tau_0B$ , $\epsilon_s$ equal, $q_0B_1B = 0$ , $q_1B_0B = 0$ , all other qs equal	-362.644	735.288	11.737
HiSSE: $\epsilon_s$ equal, qs equal	-356.567	731.134	7.583
HiSSE: qs equal	-358.793	729.586	6.035
HiSSE: $\tau_0A = \tau_0B$ , $\epsilon_0A = \epsilon_0B$ , qs equal	-358.641	725.284	1.733
HiSSE: $\tau_0A = \tau_1A$ , $\epsilon_0A = \epsilon_1A$ , qs equal	-356.621	727.242	3.691
HiSSE: $\tau_0A = \tau_1A = \tau_0B$ , $\epsilon_s$ equal, qs equal	-357.263	724.526	0.975
HiSSE: $\tau_0A = \tau_0B$ , $\epsilon_s$ equal, qs equal	-356.798	727.597	4.046
HiSSE: $\tau_0A = \tau_1A = \tau_0B$ , $\epsilon_0A = \epsilon_1A = \epsilon_1B$ , qs equal	-358.835	727.671	4.12
<b>HiSSE: <math>\tau_0A = \tau_1A = \tau_0B</math>, <math>\epsilon_0A = \epsilon_1A = \epsilon_0B</math>, <math>q_0B_1B = q_1B_0B = 0</math>, all other qs equal</b>	<b>-356.775</b>	<b>723.551</b>	<b>0</b>
HiSSE: $\tau_0A = \tau_1A = \tau_0B$ , $\epsilon_s$ equal, $q_0B_1B = 0$ , $q_1B_0B = 0$ , all other qs equal	-356.691	731.382	7.831

\*Bold represents the best model. LogL, the loglikelihood of the model; AIC, the Akaike information criterion.

TABLE 3. *List of GO terms enriched in the expanded families of the clade representing the True-Araceae.*

GO term	GO category	Description	P-value
GO:0005488	Molecular function	Binding	6.40E-05
GO:0031072	Molecular function	Heat shock protein binding	6.86E-05
GO:0043167	Molecular function	Ion binding	0.0001
GO:0097159	Molecular function	Organic cyclic compound binding	0.0006
GO:1901363	Molecular function	Heterocyclic compound binding	0.0005
GO:0043168	Molecular function	Anion binding	0.0006
GO:0032559	Molecular function	Adenyl ribonucleotide binding	0.0018
GO:0032553	Molecular function	Ribonucleotide binding	0.0019
GO:0004888	Molecular function	Transmembrane signalling receptor activity	0.0019
GO:0004970	Molecular function	Ionotropic glutamate receptor activity	0.0019
GO:0005230	Molecular function	Extracellular ligand-gated ion channel activity	0.0019
GO:0005524	Molecular function	ATP binding	0.0021
GO:0030554	Molecular function	Adenyl nucleotide binding	0.0022
GO:0097367	Molecular function	Carbohydrate derivative binding	0.0023
GO:0001883	Molecular function	Purine nucleoside binding	0.0025
GO:0032549	Molecular function	Ribonucleoside binding	0.0025
GO:0005216	Molecular function	Ion channel activity	0.0029
GO:0017076	Molecular function	Purine nucleotide binding	0.0031
GO:0036094	Molecular function	Small molecule binding	0.0042
GO:0000166	Molecular function	Nucleotide binding	0.0055
GO:1901265	Molecular function	Nucleoside phosphate binding	0.0049
GO:0003993	Molecular function	Acid phosphatase activity	0.0091
GO:0015267	Molecular function	Channel activity	0.0103
GO:0022803	Molecular function	Passive transmembrane transporter activity	0.0103
GO:0015276	Molecular function	Ligand-gated ion channel activity	0.0171
GO:0030151	Molecular function	Molybdenum ion binding	0.0171
GO:0099600	Molecular function	Transmembrane receptor activity	0.0171
GO:0016160	Molecular function	Amylase activity	0.0215
GO:0003972	Molecular function	RNA ligase (ATP) activity	0.0261
GO:0004097	Molecular function	Catechol oxidase activity	0.0261
GO:0004556	Molecular function	$\alpha$ -Amylase activity	0.0261
GO:0008452	Molecular function	RNA ligase activity	0.0261
GO:0000287	Molecular function	Magnesium ion binding	0.0301
GO:0006259	Biological process	DNA metabolic process	0.0021
GO:0008033	Biological process	tRNA processing	0.0068
GO:0006281	Biological process	DNA repair	0.0087
GO:0006974	Biological process	Cellular response to DNA damage stimulus	0.0121
GO:0033554	Biological process	Cellular response to stress	0.0121
GO:0090304	Biological process	Nucleic acid metabolic process	0.0132
GO:0043170	Biological process	Macromolecule metabolic process	0.0172
GO:0006468	Biological process	Protein phosphorylation	0.0221
GO:0046653	Biological process	Tetrahydrofolate metabolic process	0.0241
GO:0046654	Biological process	Tetrahydrofolate biosynthetic process	0.0241
GO:0044260	Biological process	Cellular macromolecule metabolic process	0.0252
GO:0034470	Biological process	ncRNA processing	0.0281
GO:0016310	Biological process	Phosphorylation	0.0301

TABLE 3. *Continued*

GO term	GO category	Description	P-value
GO:0006260	Biological process	DNA replication	0.0383
GO:0006259	Biological process	DNA metabolic process	0.0021
GO:0005666	Cellular component	DNA-directed RNA polymerase III complex	0.04

key innovations (Soltis and Soltis, 2016; Clark and Donoghue, 2018). The best shift along the stem lineage of the True-Araceae clade in diversification rates was identified. This suggested that the shift may have been associated with the change in habit from aquatic to terrestrial environments. These findings indicated that WGD may play other roles, such as a macroevolutionary increase in adaptive and key traits to niches in new environments, rather than a direct increase in speciation rates in the Araceae. In previous studies, comparative genomics between *S. polyrhiza* and other terrestrial monocots found that some gene families in *S. polyrhiza* were lost, and this meant a loss of functions in certain biosynthetic and secondary metabolic processes including water transport by aquaporins, lignin biosynthesis, cell wall organization and terpenoid production (Wang et al., 2014; An et al., 2019). Furthermore, the genome of *Wolffia australiana* (Benth.) Hartog & Plas lost several hundred genes, including those associated with the cell wall, flavonoid biosynthesis, protein phosphorylation, immune response and terpene biosynthesis (Michael et al., 2021). These results suggested that for *Spirodela* and *Wolffia*, once adapted to aquatic environments, complex metabolites were no longer needed to cope with variable temperature, light and drought regimes indicative of terrestrial environments. In contrast, our analyses showed expansions of gene families and functional enrichments. The functions of these expanded families were related to heat shock protein, ABC transporter, glycosyl hydrolase, RING finger, pectin acetyltransferase, cytochrome P450 and oxidative stress-responsive kinase. Such results indicated that the True-Araceae clade, in particular, showed a successful and long-term trend expanding into tropical regions (Van de Peer et al., 2021). Specifically, the past WGDs in this clade facilitated the macroevolution of adaptations to exploit terrestrial niches, leading to what we now see if the successful colonization and diversification of the Araceae into novel niches.

#### SUPPLEMENTARY DATA

Supplementary data are available online at <https://academic.oup.com/aob> and consist of the following materials. Table S1: summary of sampled taxa and dataset statistics. Table S2: description and placement of five fossil calibrations used in this study. Table S3: list of Ks values of WGD events in 81 species of the Araceae and outgroup, *Acorus calamus*. Table S4: summary of diversification sites in BAMM. Table S5: family name, taxa number, gene number and Pfam function in each cluster for the expanded gene families. Figure S1: phylogenetic tree of Araceae using the RAxML method based on 1081 orthologous groups derived from 90 species. Figure S2: the phylogenetic tree of the Araceae using the ASTRAL method based on 1081 orthologous groups from 90 species. Figure S3: the time-calibrated phylogenetic tree of the Araceae estimated

by TreePL based on five fossil constraints. Figure S4: a molecular time scale of the Araceae inferred by TreePL based on 1000 ML bootstrap trees and five fossil calibrations. Figure S5: histograms of Ks distribution in 81 species of the Araceae and *Acorus calamus* based on wgd tools packages. Figure S6: species trees with gene duplication at each node as inferred by MAPS. Figure S7: speciation and extinction rates of the Araceae estimated by BAMM. Figure S8: the post burn-in posterior distribution for shifts estimated by BAMM. Figure S9: the 95 % credible set of shift configurations estimated by BAMM. Figure S10: the marginal shift probabilities estimated by BAMM. Figure S11: speciation and extinction rates of the Proto-Araceae group and the lemnoidean lineages. Figure S12: speciation and extinction rates of the True-Araceae clade after the  $\psi$ -WGD event estimated by BAMM. Figure S13: speciation rates of the Proto-Araceae group, the lemnoidean clade and the True-Araceae clade estimated by BiSSE. Lambda0 indicates the Proto-Araceae group and the lemnoidean clade. Figure S14: extinction rates of the Proto-Araceae group, the lemnoidean clade and the True-Araceae clade estimated by BiSSE. Figure S15: a comparative figure for the phylogenetic relationships of eight subfamilies based on nuclear and chloroplast genes.

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#### CONFLICT OF INTEREST

The authors declare no competing interests.

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