

MAE 545: Lecture 12 (4/4)

Spirals and phyllotaxis



Spirals in nature

shells



beaks



claws



horns



teeth



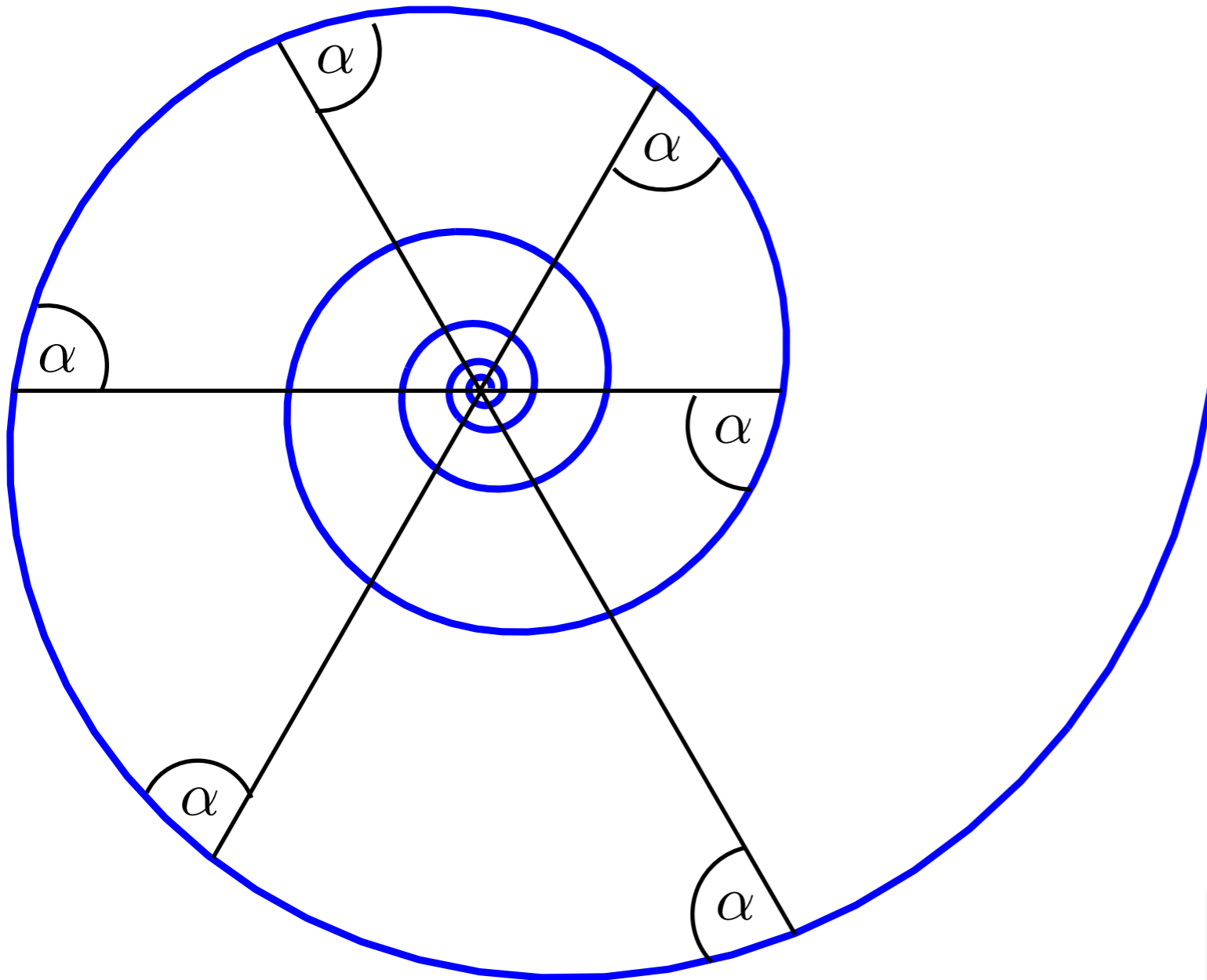
tusks



What simple mechanism could produce spirals?

Equiangular (logarithmic) spiral

$$\alpha = 82^\circ$$



in polar coordinates radius grows exponentially

$$r(\theta) = a^\theta = \exp(\theta \cot \alpha)$$

$$\cot \alpha = \ln a$$

name logarithmic spiral:

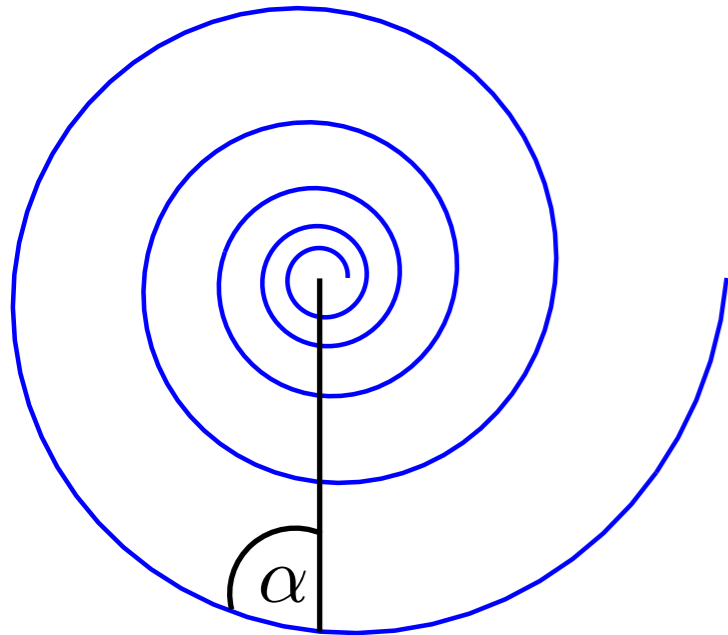
$$\theta = \frac{\ln r}{\ln a}$$

Ratio between growth velocities in the radial and azimuthal directions is constant!

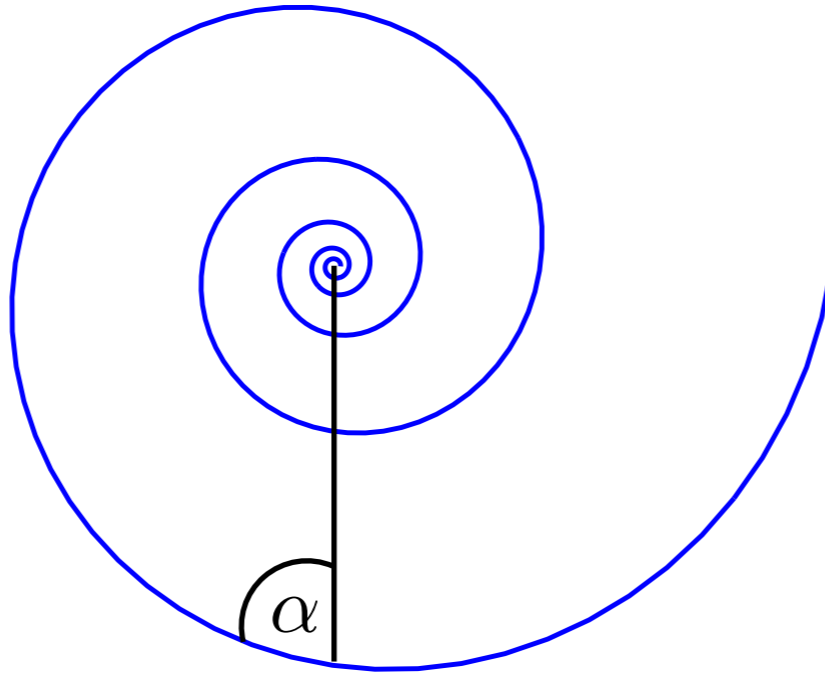
$$\cot \alpha = \frac{dr}{r d\theta} = \frac{dr/dt}{r d\theta/dt} = \frac{v_r}{v_\theta}$$

Equiangular (logarithmic) spiral

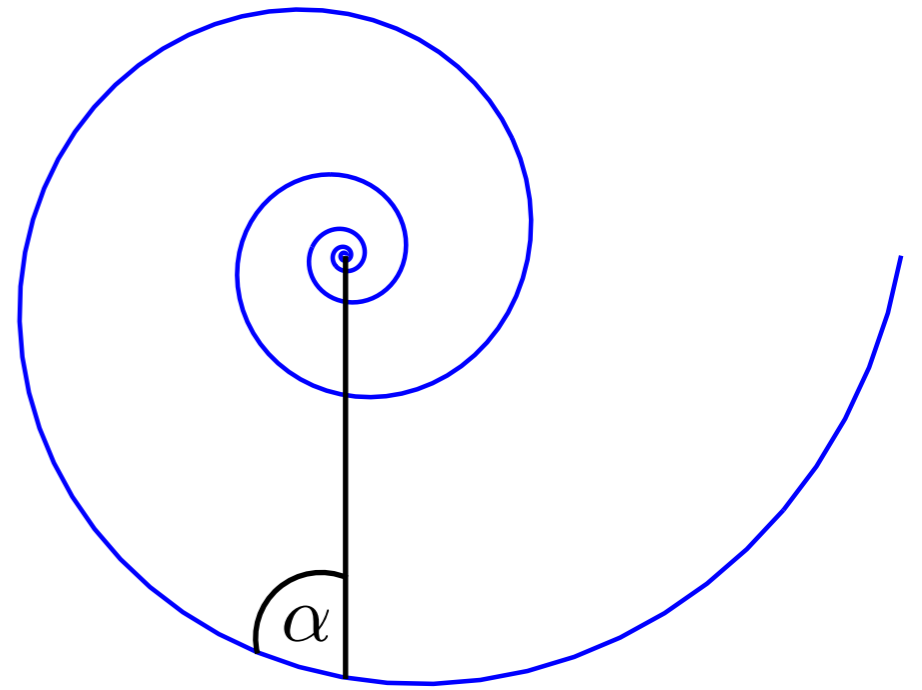
$$\alpha = 85^\circ$$



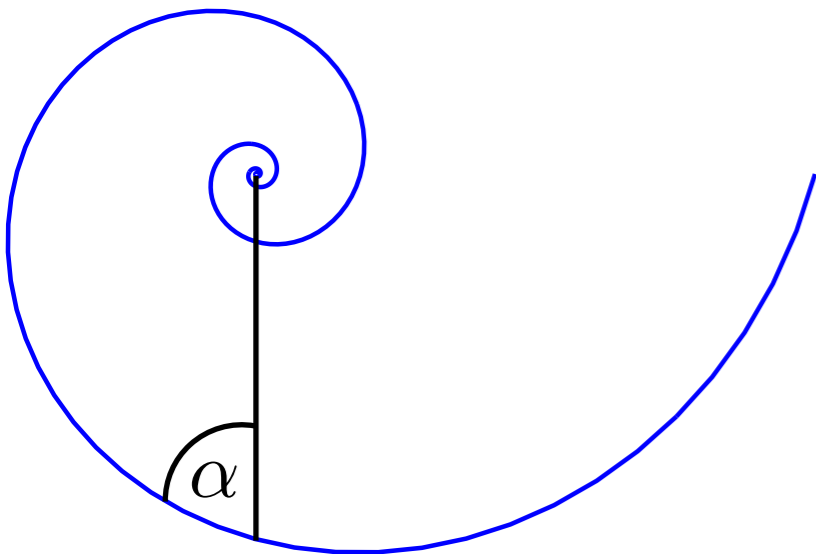
$$\alpha = 82^\circ$$



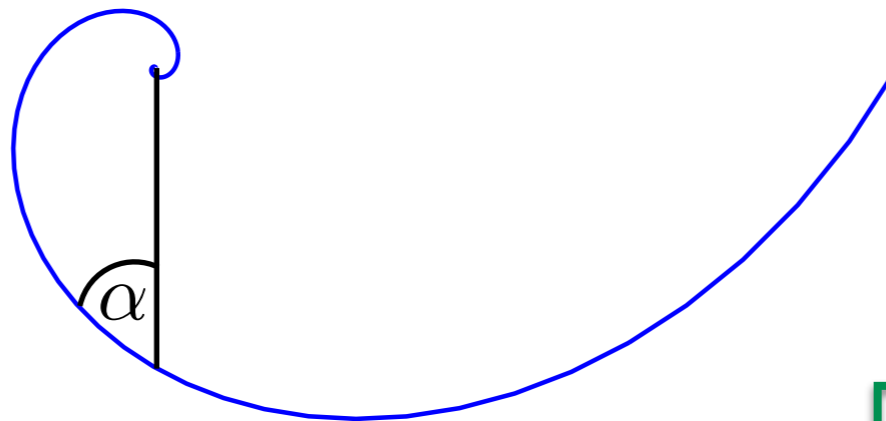
$$\alpha = 80^\circ$$



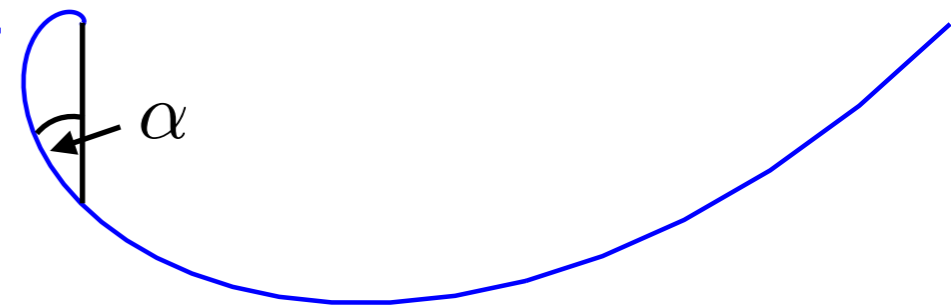
$$\alpha = 75^\circ$$



$$\alpha = 60^\circ$$

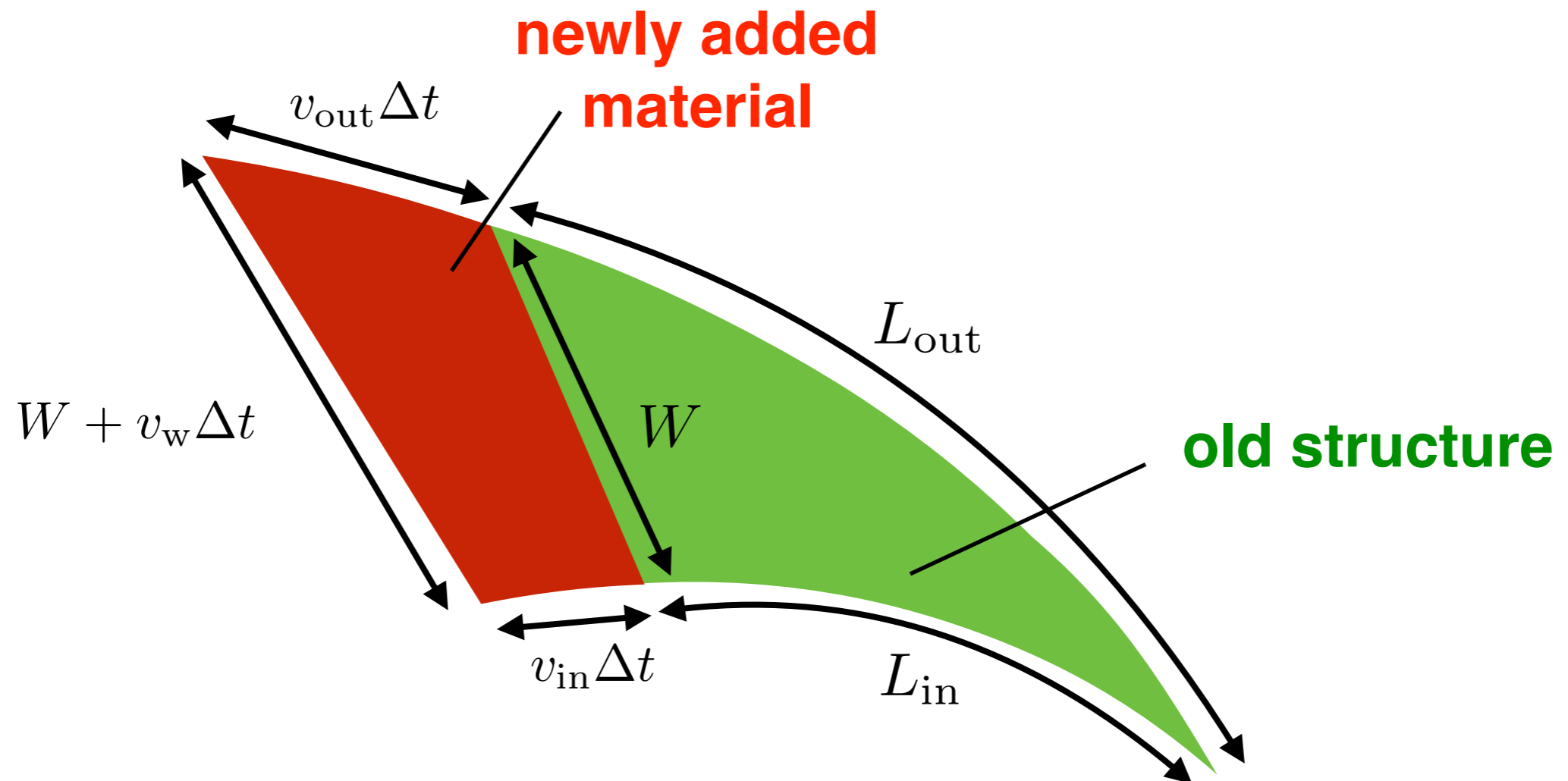


$$\alpha = 45^\circ$$



$$r(\theta) = a^\theta = \exp(\theta \cot \alpha)$$

Growth of spiral structures



New material is added at a constant ratio of growth velocities, which produces spiral structure with side lengths and the width in the same proportions.

$$v_{out} : v_{in} : v_w = L_{out} : L_{in} : W$$

Note: growth with constant width ($v_w=0$) produces helices

Growth of spiral structures

Assume the following spiral profiles of the outer and inner layers:

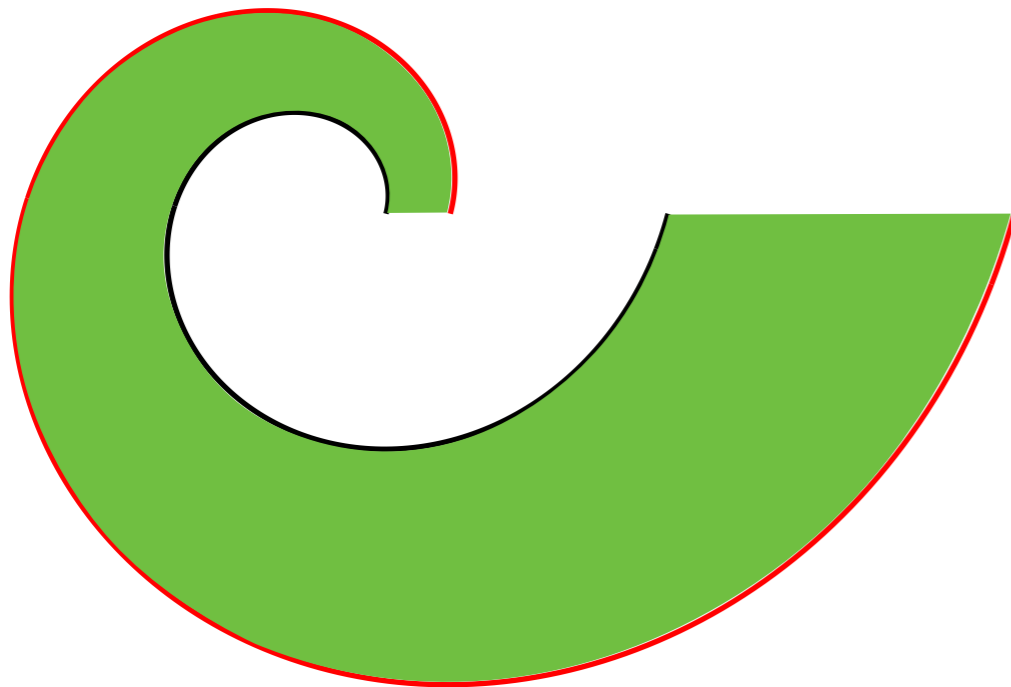
$$r_{\text{out}}(\theta) = e^{\theta \cot \alpha}$$

$$r_{\text{in}}(\theta) = \lambda e^{\theta \cot \alpha}$$

$$\lambda < 1$$

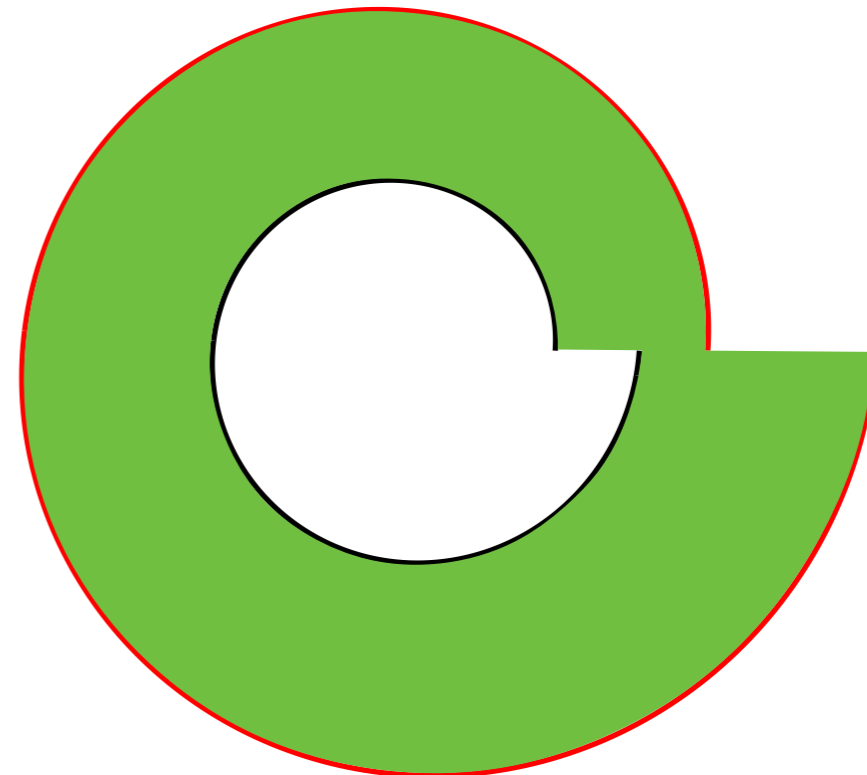
$$\lambda e^{2\pi \cot \alpha} > 1$$

$$\lambda = 0.5, \alpha = 75^\circ$$



$$\lambda e^{2\pi \cot \alpha} < 1$$

$$\lambda = 0.5, \alpha = 86^\circ$$

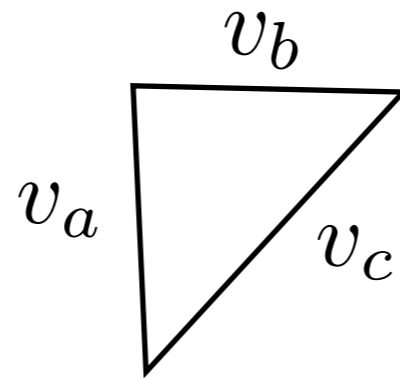


In some shells the inner layer does not grow at all

3D spirals



3D spiral of ram's horns is due to the triangular cross-section of the horn, where each side grows with a different velocity.



Shells of mollusks are often conical

Phyllotaxis

Phyllotaxis is classification of leaves on a plant stem

maize



**distichous
pattern**

leaves alternating
every 180°

Coleus sp.



**decussate
pattern**

pairs of
leaves at 90°

Veronicastrum
virginicum



**whorled
pattern**

3 or more leaves
originating from the
same node (180°)

sunflower

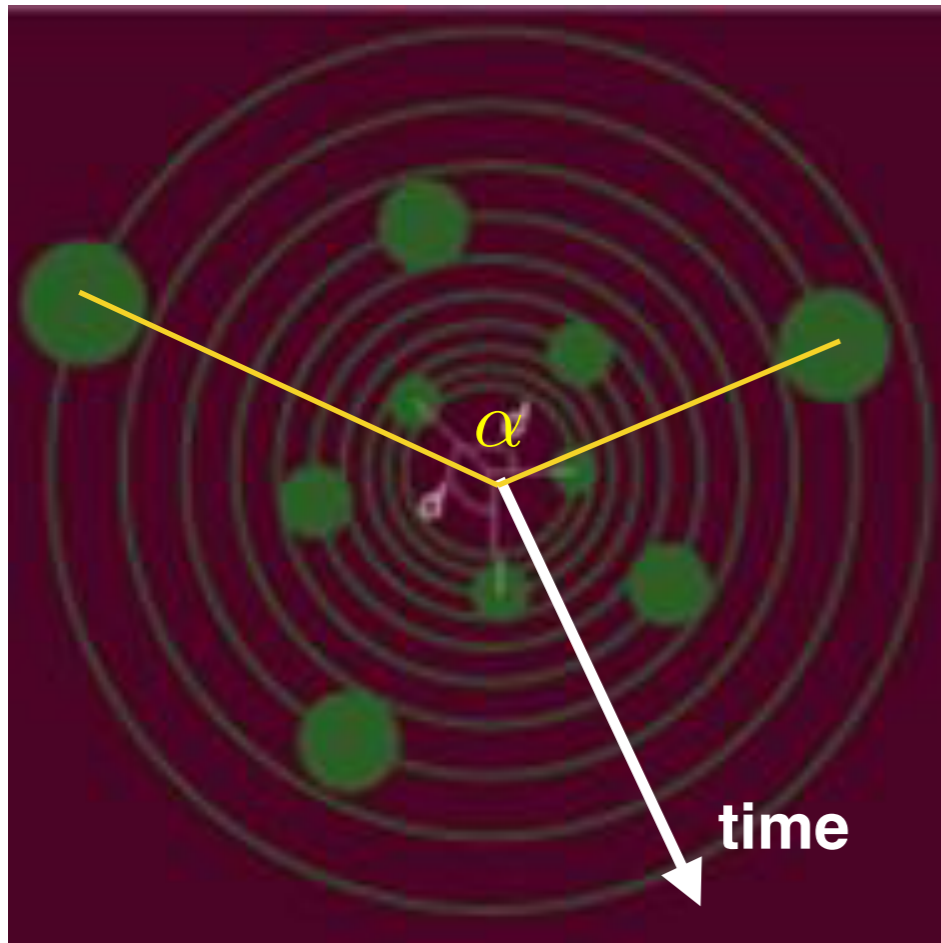


**alternate
(spiral)
pattern**

successive
leaves at 137.5°

Spiral phyllotaxis

schematic description
of leaves arrangement

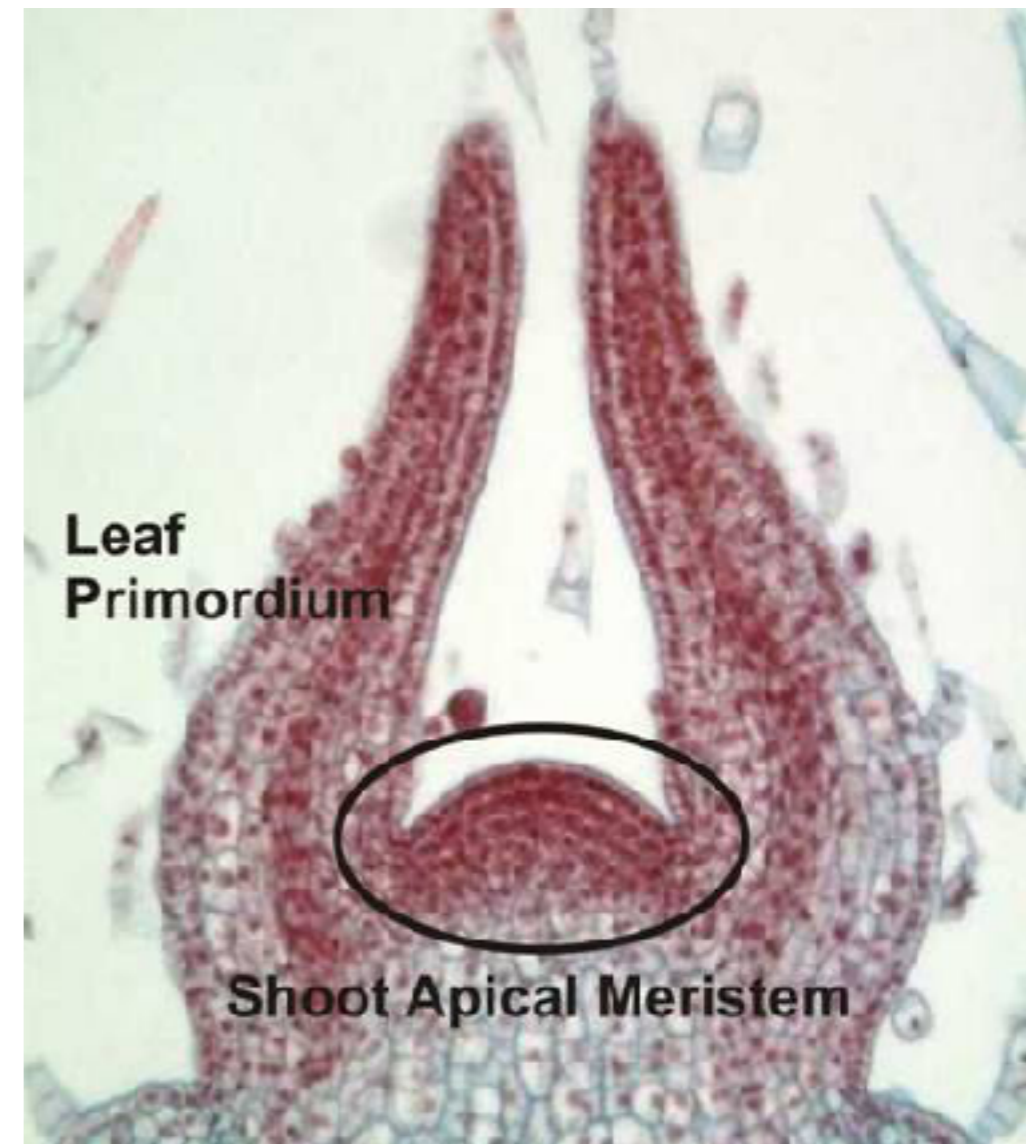


$$\alpha \approx 137.5^\circ$$

florets
(petals) floral
primordia



leaves grow from the
apical meristem, which
also gives rise to
petals, sepals, etc.



leaves

Parastichy numbers



21 left-handed spirals

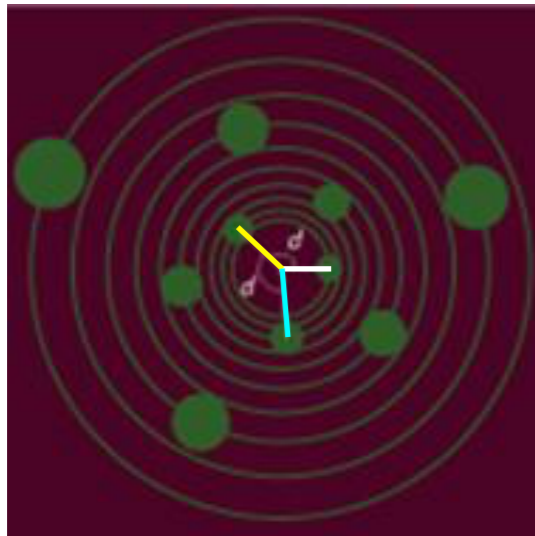
34 right-handed spirals



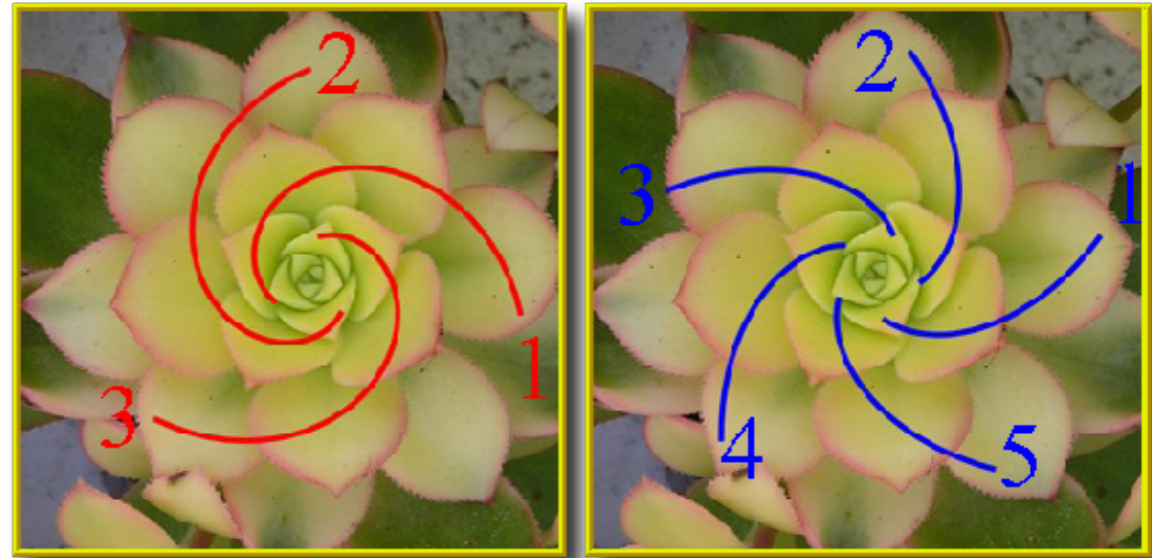
Parastichy numbers (21,34)

Parastichy numbers

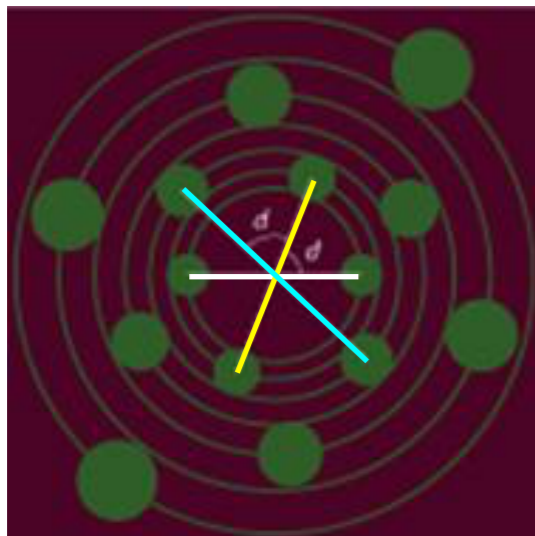
spiral
phyllotaxis



succulent plant (3,5)



multijugate
phyllotaxis



(e.g. 2 new leaves are added at the same time)

Gymnocalycium (10,16)=2(5,8)



Parastichy numbers

aonium (2,3)



succulent plant (3,5)



aloe (5,8)



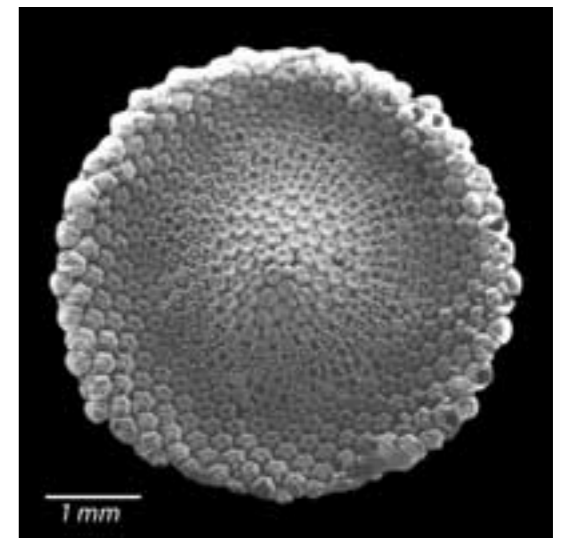
pine cone (8,13)



sunflower (21,34)



artichoke (34,55)



Parastichy numbers very often correspond to successive Fibonacci numbers!

Fibonacci numbers



$$F_1 = 1$$

$$F_2 = 1$$

$$F_n = F_{n-1} + F_{n-2}$$

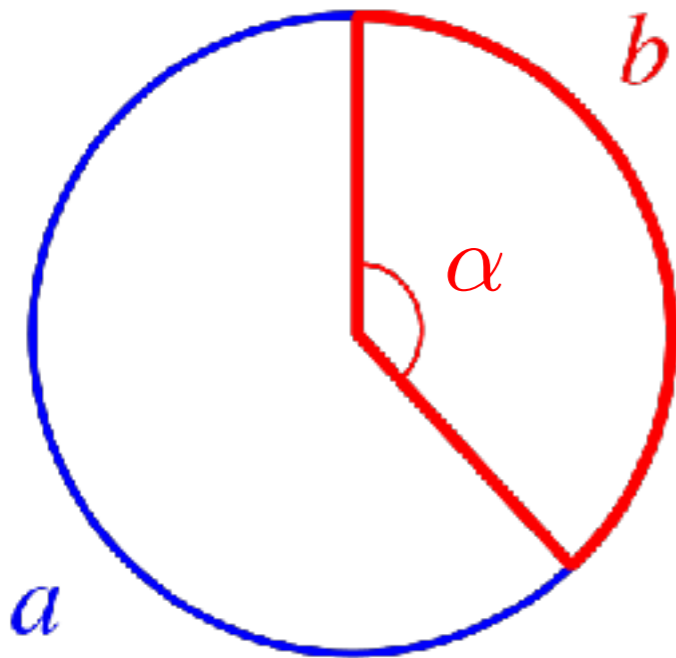
Golden ratio $\varphi = \frac{1 + \sqrt{5}}{2}$

$$F_n = \frac{1}{\sqrt{5}} [\varphi^n - (1 - \varphi)^n]$$

Sequence of Fibonacci numbers

1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, ...

Golden angle



**divide perimeter
in golden ratio**

$$\frac{a+b}{a} = \frac{a}{b} \longrightarrow \frac{a}{b} = \varphi$$

$$\alpha = 360^\circ \frac{b}{(a+b)} = \frac{360^\circ}{\varphi^2} \approx 137.5^\circ$$

**In spiral phyllotaxis successive leaves
grow at approximately Golden angle!**

Non-Fibonacci parastichy numbers



Statistics for pine trees in Norway

95% Fibonacci numbers

4% Lucas numbers

1% not properly formed

Lucas numbers

$$L_1 = 1$$

$$L_2 = 3$$

$$L_n = L_{n-1} + L_{n-2}$$

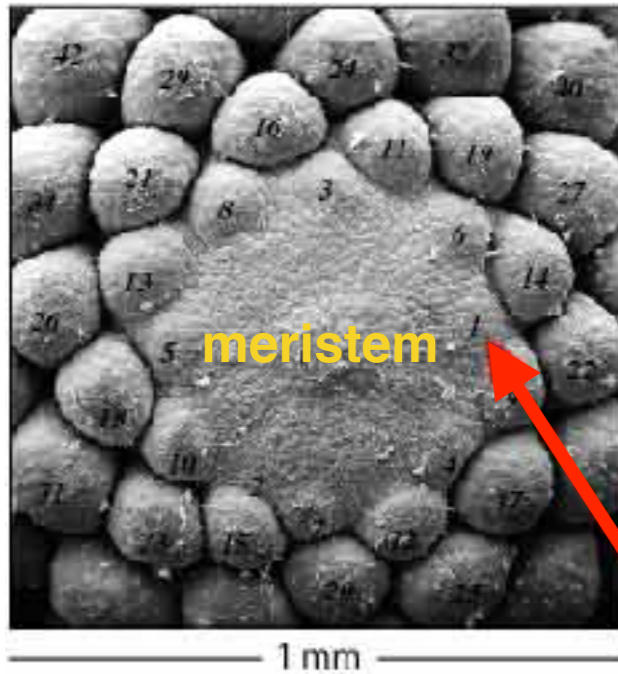
Sequence of Lucas numbers

1, 3, 4, 7, 11, 18, 29, 47, 76



Spiral phyllotaxis

Norway spruce

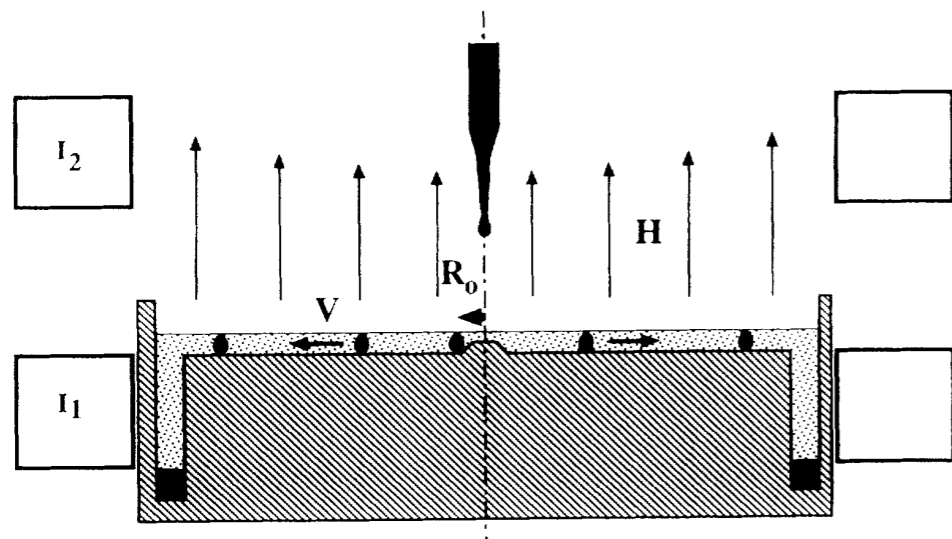


New primordia start growing at the site where plant hormone auxin is depleted.

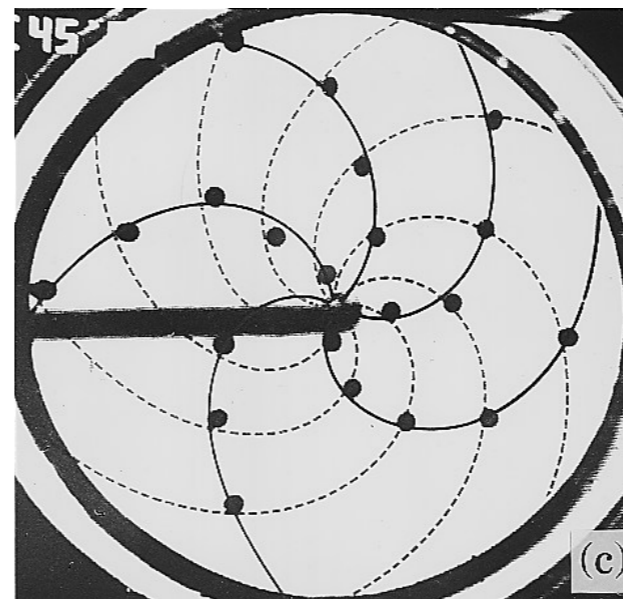
Auxin hormones are released by growing primordia. New primordium wants to be as far apart as possible from the existing primordia.

new primordial

Mechanical analog with magnetic repelling particles



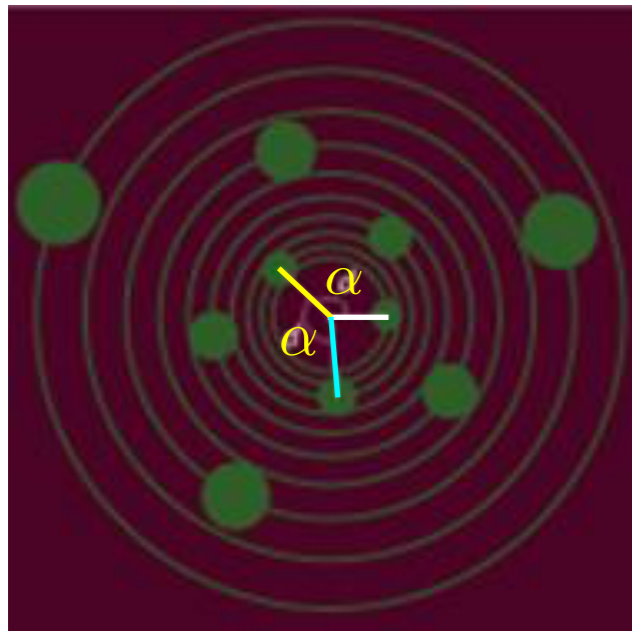
magnetic field drives particles away from the center



Parastichy numbers (5,8)

particles repel via magnetic dipole interactions

Energy minimization between repelling particles



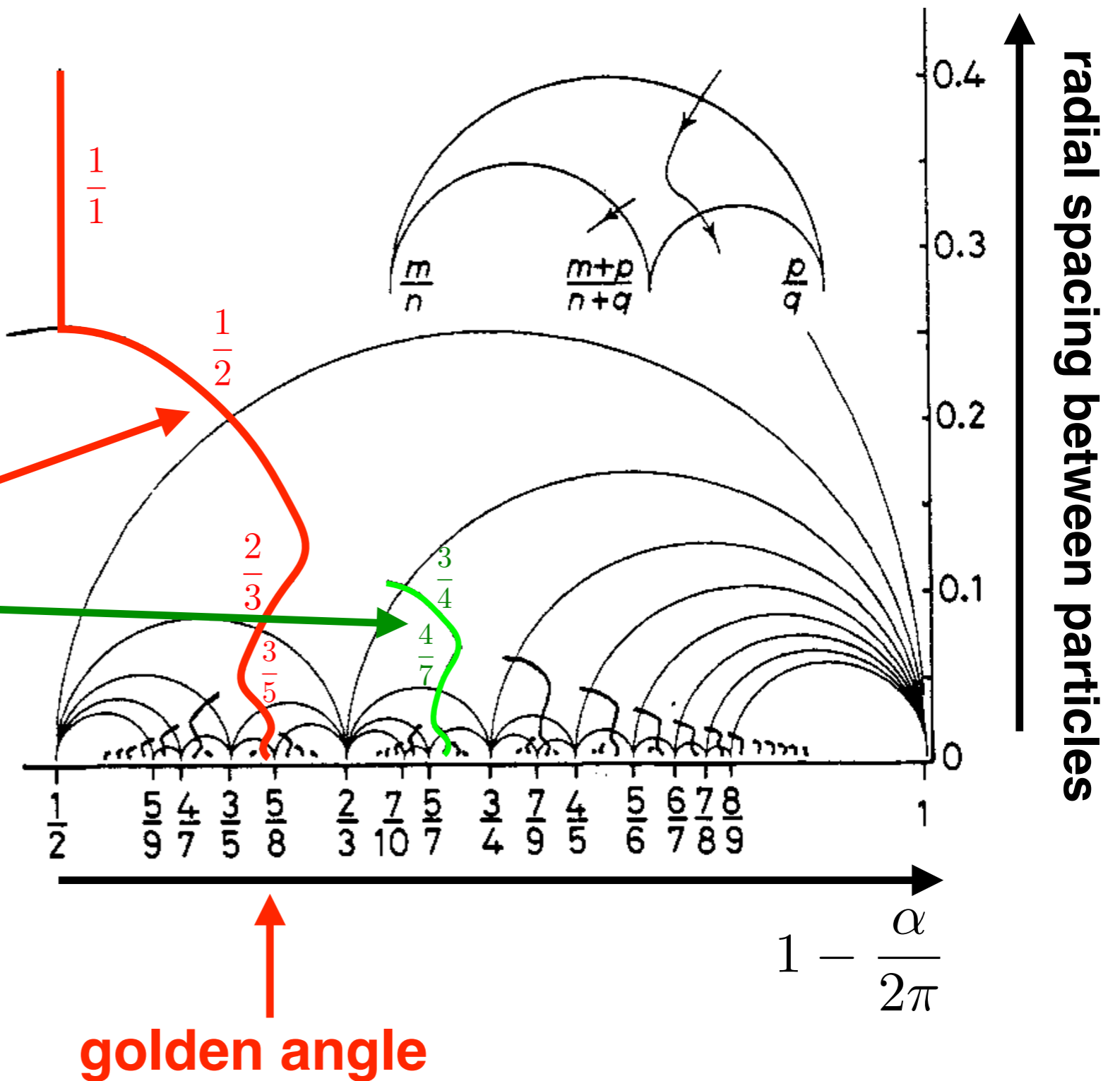
Local energy minima for repelling particles

Fibonacci numbers

Lucas numbers

As the plant is growing it is gradually reducing the time delay between formation of new primordia. The spiral patterns then go sequentially through all the Fibonacci parastichies.

Occasional excursions to the neighbor local minima produce Lucas parastichy numbers.



golden angle

L. Levitov, PRL 66, 224 (1991)

L. Levitov, EPL 14, 533 (1991)

Further reading

